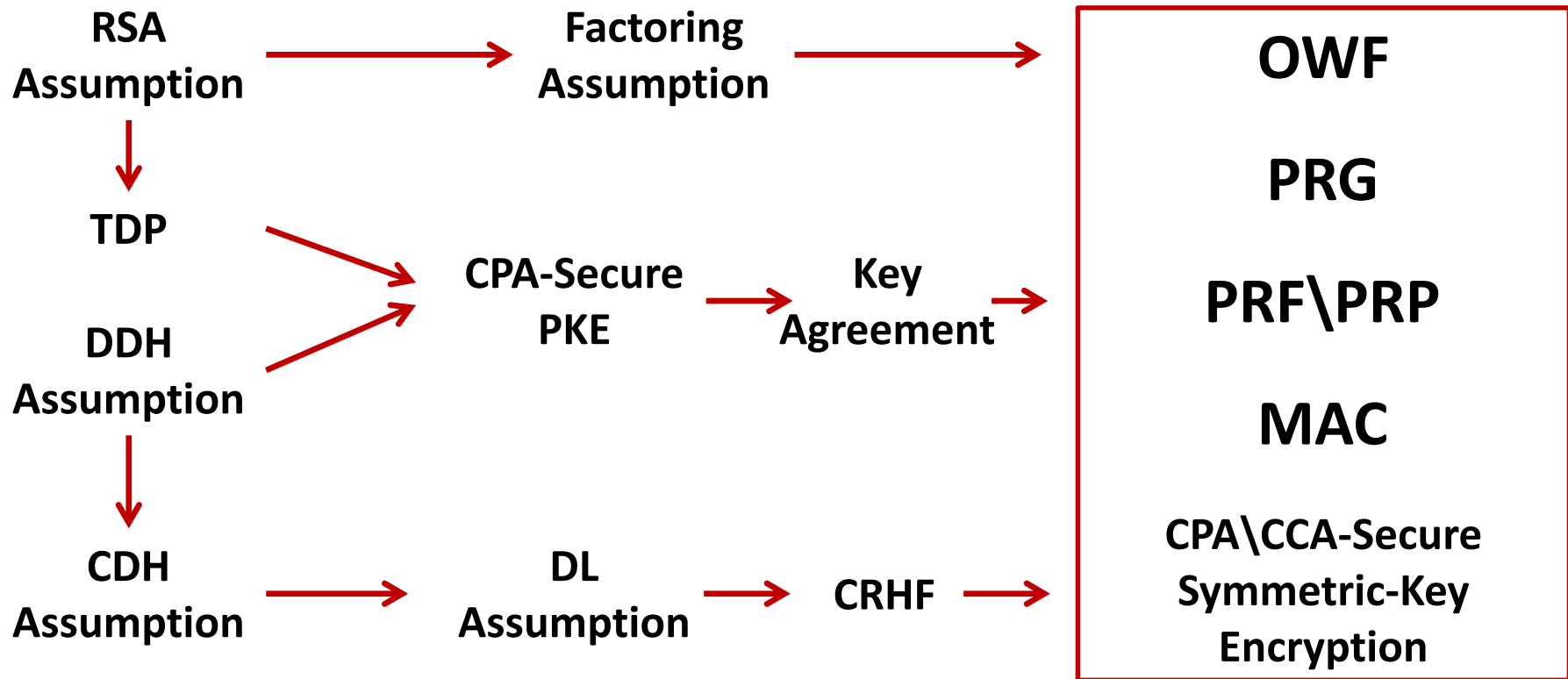




Cryptography

Lecture 10: Digital Signatures

The World of Crypto Primitives



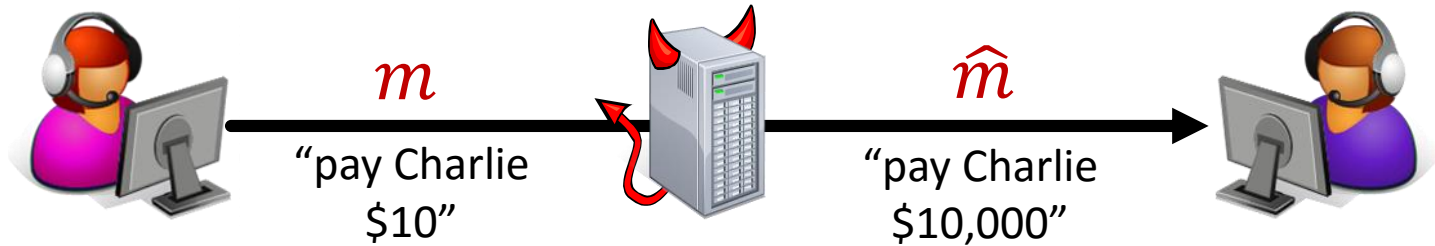
Outline

- **Digital signatures**
- **Constructions**
 - One-time signatures
 - Stateful signatures
 - Stateless signatures
- **Certificates and public-key infrastructure**
- **User-server identification**

Digital Signatures

Alice and Bob wish to communicate

- Eve completely controls the channel
- Would like to assure the receiver of a message that it has not been modified



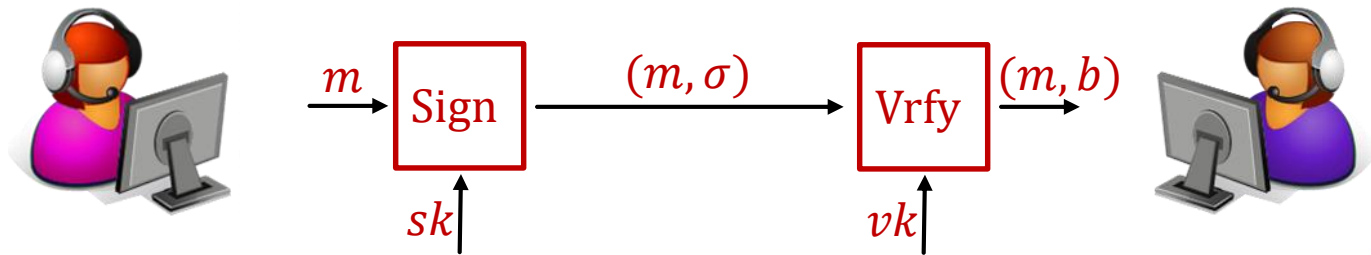
Public-key counterpart of message-authentication codes

- Signer holds a **secret** signing key
- Verifier knows the corresponding **public** verification key

Digital Signatures

Syntax: $\Pi = (\text{Gen}, \text{Sign}, \text{Vrfy})$

- Key-generation algorithm **Gen** on input 1^n outputs a signing key sk and a verification key vk
- Signing algorithm **Sign** takes a signing key sk and a message m , and outputs a signature σ
- Verification algorithm **Vrfy** takes a verification key vk , a message m and a signature σ , and outputs a bit b



Correctness: For every message m

$$\Pr[\text{Vrfy}_{vk}(m, \text{Sign}_{sk}(m)) = 1] = 1$$

Signatures vs. MACs

Signatures

- n users require only n secret keys
- Same signature can be verified by all users
- Publicly verifiable and transferable
- Provide non-repudiation

MACs

- n users require $\approx n^2$ secret keys
- Privately verifiable and non-transferable
- More efficient (2-3 orders of magnitude faster)

The Security of Signatures

- \mathcal{A} knows vk and can adaptively ask for signatures of messages of its choice
- \mathcal{A} tries to forge a signature on a new message

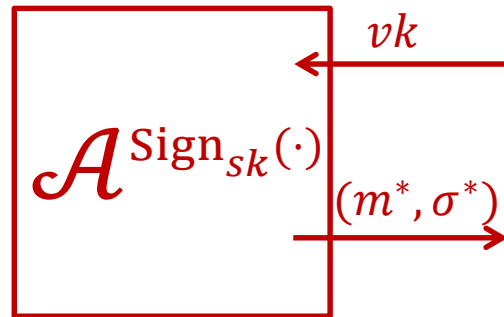
Definition:

Π is **existentially unforgeable against an adaptive chosen-message attack** if for every PPT adversary \mathcal{A} there exists a negligible function $\nu(\cdot)$ such that

$$\Pr[\text{SigForge}_{\Pi, \mathcal{A}}(n) = 1] \leq \nu(n)$$

- **T -time security (weaker notion):** \mathcal{A} is allowed to ask for at most T signatures

$$(sk, vk) \leftarrow \text{Gen}(1^n)$$



\mathcal{Q} = Set of all queries asked by \mathcal{A}

$$\text{SigForge}_{\Pi, \mathcal{A}}(n) = \begin{cases} 1, & \text{if } \text{Vrfy}_{vk}(m^*, \sigma^*) = 1 \\ & \text{and } m^* \notin \mathcal{Q} \\ 0, & \text{otherwise} \end{cases}$$

Outline

- **Digital signatures**
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Construction Outline

One-time signature scheme



```
graph TD; A[One-time signature scheme] --> B[Stateful signature scheme]; B --> C[Stateless signature scheme];
```

Stateful signature scheme

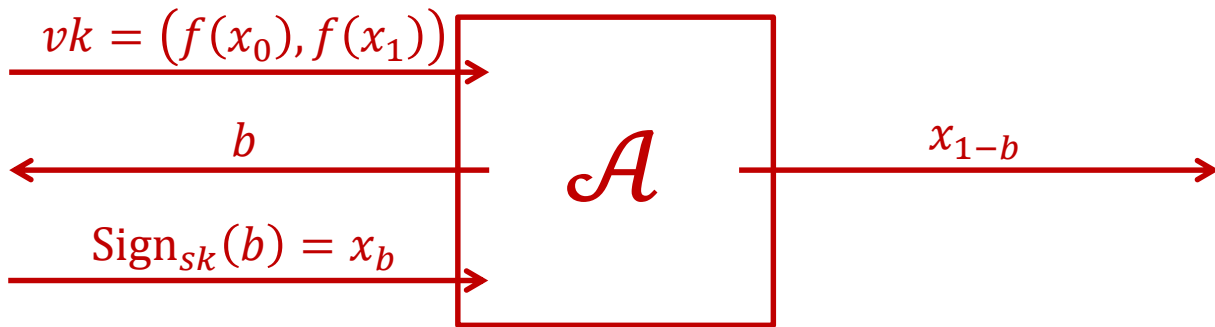
Stateless signature scheme

Lamport's One-Time Scheme

$$sk = \begin{array}{|c|c|} \hline x_0 & x_1 \\ \hline \end{array}$$

$$vk = \begin{array}{|c|c|} \hline f(x_0) & f(x_1) \\ \hline \end{array}$$

$$\text{Sign}_{sk}(b) = x_b$$



Lamport's One-Time Scheme

Let f be a OWF. Define a signature scheme $\Pi = (\text{Gen}, \text{Sign}, \text{Vrfy})$ for 1-bit messages as follow:

- $\text{Gen}(1^n)$: Sample $x_0, x_1 \leftarrow \{0,1\}^n$ and compute $y_0 = f(x_0)$ and $y_1 = f(x_1)$. Output $sk = (x_0, x_1)$ and $vk = (y_0, y_1)$.
- $\text{Sign}_{sk}(b)$: Output $\sigma = x_b$.
- $\text{Vrfy}_{vk}(b, \sigma)$: If $f(\sigma) = y_b$ output 1, and otherwise output 0.

Theorem:

If f is a OWF then Π is a secure one-time signature scheme for 1-bit messages.

Proof idea:

- \mathcal{A} forges a signature on $b^* \Rightarrow \mathcal{A}$ inverts $y_{b^*} = f(x_{b^*})$
- Inverting $f(x_{b^*})$ is clearly hard even when given x_{1-b^*} and $f(x_{1-b^*})$
- An inverter can guess the forged bit b^* ahead of time w.p. $1/2$

Lamport's One-Time Scheme

Inverter \mathcal{B} :

Input: $y = f(x)$ for some $x \leftarrow \{0,1\}^n$.

1. Choose $b^* \leftarrow \{0,1\}$, and set $y_{b^*} = y$.
2. Sample $x_{1-b^*} \leftarrow \{0,1\}^n$ and set $y_{1-b^*} = f(x_{1-b^*})$.
3. Run \mathcal{A} on input $vk = (y_0, y_1)$.
4. When \mathcal{A} requests a signature on b :
 - If $b = b^*$, abort.
 - If $b = 1 - b^*$ output x_{1-b^*} .
5. If \mathcal{A} output a forgery σ^* on b^* , output σ^* .

Independence!

$$\begin{aligned}\Pr[\mathcal{B}(f(x)) \in f^{-1}(f(x))] &\geq \Pr[\text{SigForge}_{\Pi, \mathcal{A}}(n) = 1 \wedge \mathcal{B} \text{ doesn't abort}] \\ &= \Pr[\text{SigForge}_{\Pi, \mathcal{A}}(n) = 1] \cdot \Pr[\mathcal{B} \text{ doesn't abort}] \\ &= \Pr[\text{SigForge}_{\Pi, \mathcal{A}}(n) = 1] \cdot \frac{1}{2}\end{aligned}$$

Lamport's One-Time Scheme

Let f be a OWF. Define a signature scheme $\Pi = (\text{Gen}, \text{Sign}, \text{Vrfy})$ for ℓ -bit messages as follow:

- $\text{Gen}(1^n)$: For each $i \in [\ell]$ and $b \in \{0,1\}$ sample $x_{i,b} \leftarrow \{0,1\}^n$ and compute $y_{i,b} = f(x_{i,b})$. Output $sk = \{(x_{i,0}, x_{i,1})\}_{i \in [\ell]}$ and $vk = \{(y_{i,0}, y_{i,1})\}_{i \in [\ell]}$.
- $\text{Sign}_{sk}(m = m_1 \cdots m_\ell)$: Output $\sigma = (x_{1,m_1}, \dots, x_{\ell,m_\ell})$.
- $\text{Vrfy}_{vk}(m = m_1 \cdots m_\ell, \sigma = (x_1, \dots, x_\ell))$: If $f(x_i) = y_{i,m_i}$ for all $i \in [\ell]$ output 1, and otherwise output 0.

Theorem:

If f is a OWF then Π is a secure one-time signature scheme for ℓ -bit messages.

Proof idea:

- Suppose that \mathcal{A} asks for a signature on m and then forges on $m^* \neq m$
- The inverter \mathcal{B} needs to guess $i \in [\ell]$ s.t. $m_i^* \neq m_i$ as well as guess the bit m_i^*

One-Time Signatures -- Summary

Theorem (Lamport '79):

If **OWFs** exist then for any polynomial $\ell = \ell(n)$ there is a one-time signature scheme for signing ℓ -bit messages.

The following theorem is known as the “Hash-and-Sign” paradigm:

Theorem:

If **CRHFs** exist then there is a one-time signature scheme that can sign messages of arbitrary polynomial length.

Construction Outline

One-time signature scheme



Stateful signature scheme

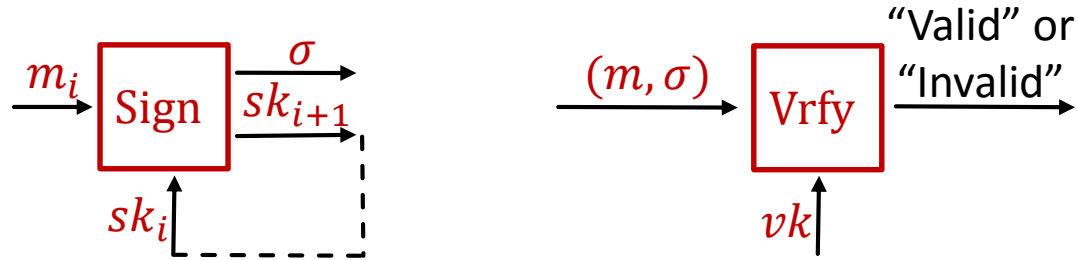


Stateless signature scheme

Stateful Signature Schemes

Signer updates the signing key after each signature

- Initial state sk_1 produced by Gen : $(vk, sk_1) \leftarrow \text{Gen}(1^n)$
- Signing the i th message updates sk_i to sk_{i+1} : $(\sigma, sk_{i+1}) \leftarrow \text{Sign}_{sk_i}(m_i)$
- Verification requires only vk



Existential unforgeability against an adaptive chosen-message attack

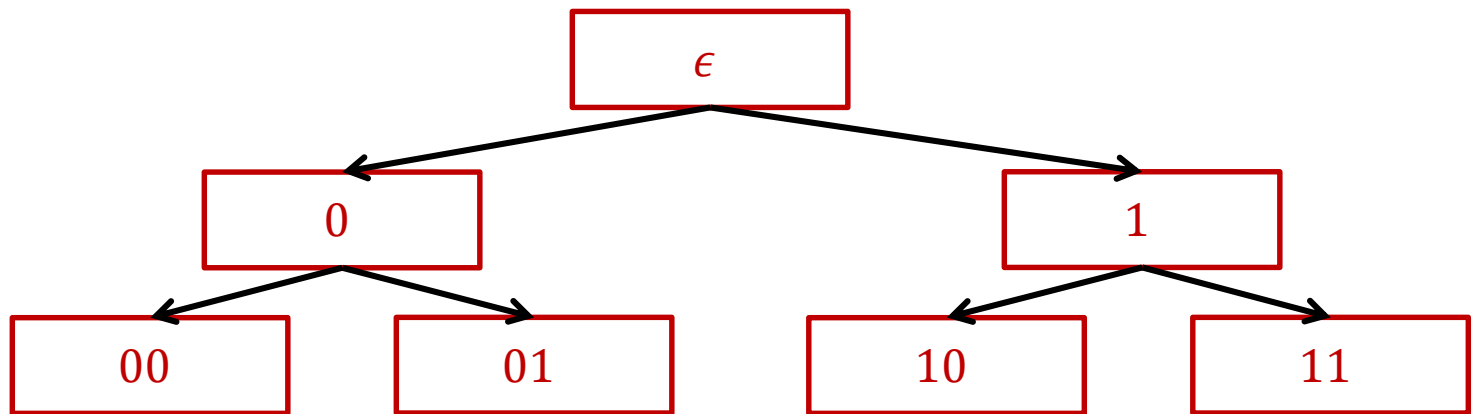
- \mathcal{A} knows vk and can adaptively ask for signatures of messages of its choice
- The signing oracle maintains the internal state sk_i
- \mathcal{A} tries to forge a signature on a new message

A Stateful Scheme

- Let $\Pi = (\text{Gen}, \text{Sign}, \text{Vrfy})$ be a one-time signature scheme for signing “sufficiently long” messages
- For $m = m_1 \cdots m_n \in \{0,1\}^n$ we let $m|_i \stackrel{\text{def}}{=} m_1 \cdots m_i$ (and $m|_0 \stackrel{\text{def}}{=} \epsilon$)

Define $\Pi' = (\text{Gen}', \text{Sign}', \text{Vrfy}')$ for signing n -bit messages as follows:

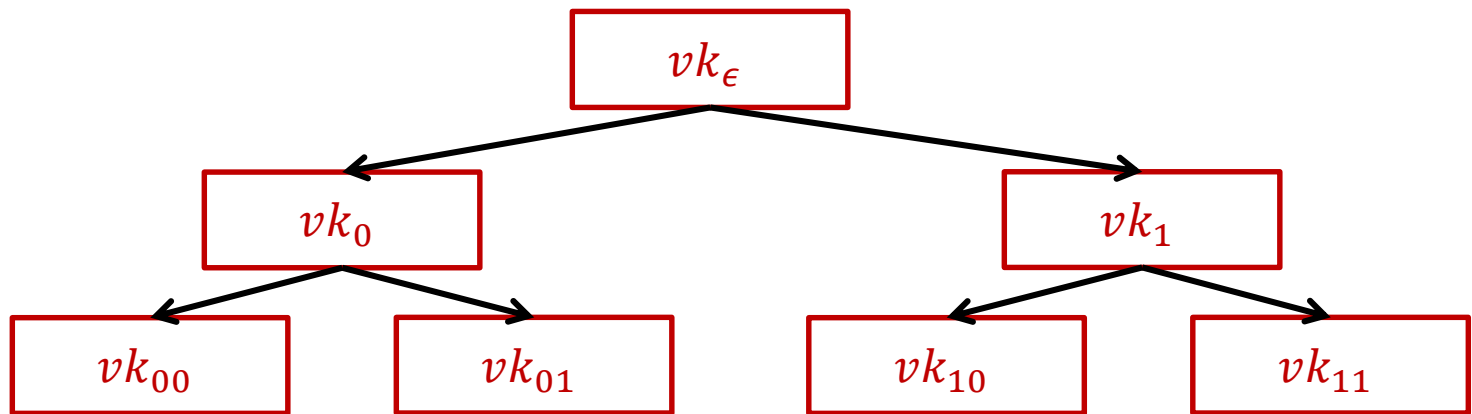
- The signer’s state is binary tree with 2^n leaves
- Each node $w \in \{0,1\}^{<n}$ has a left child $w0$ and a right child $w1$
- The tree is of exponential size but is never fully constructed



A Stateful Scheme

Key generation:

- Each node $w \in \{0,1\}^{\leq n}$ is associated with $(vk_w, sk_w) \leftarrow \text{Gen}(1^n)$
- Keys are generated and stored only when needed
- The state sk'_i consists of all keys and signatures that were generated so far
- $vk' = vk_\epsilon$ and $sk'_1 = sk_\epsilon$

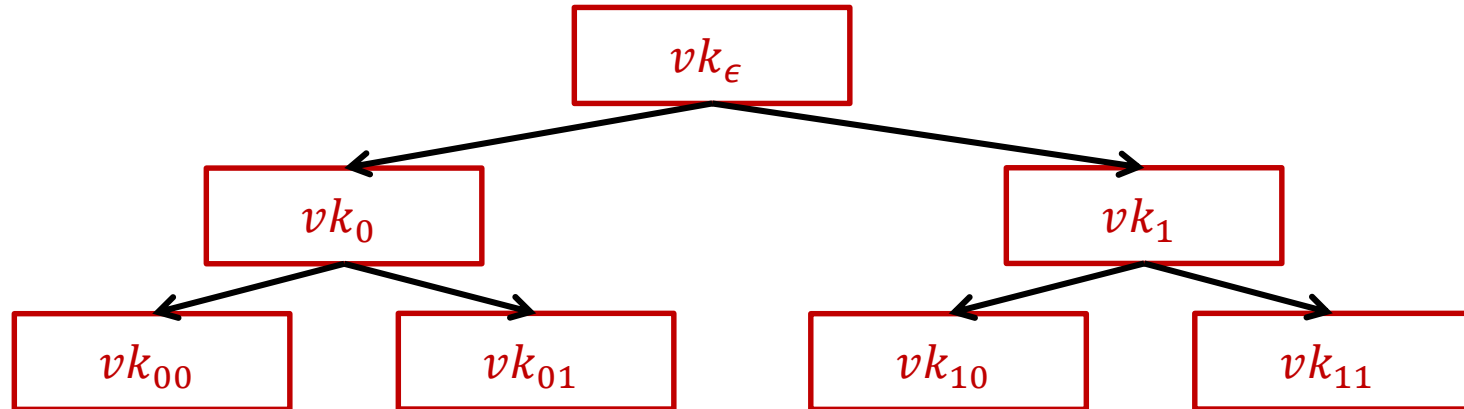


A Stateful Scheme

Signing a message $m \in \{0, 1\}^n$:

1. Generate a path from the root to the leaf labeled m : For each proper prefix w of m sample $(vk_{w0}, sk_{w0}), (vk_{w1}, sk_{w1}) \leftarrow \text{Gen}(1^n)$
2. Certify the path: For each proper prefix w of m compute $\sigma_w = \text{Sign}_{sk_w}(vk_{w0}, vk_{w1})$
3. Compute $\sigma_m = \text{Sign}_{sk_m}(m)$

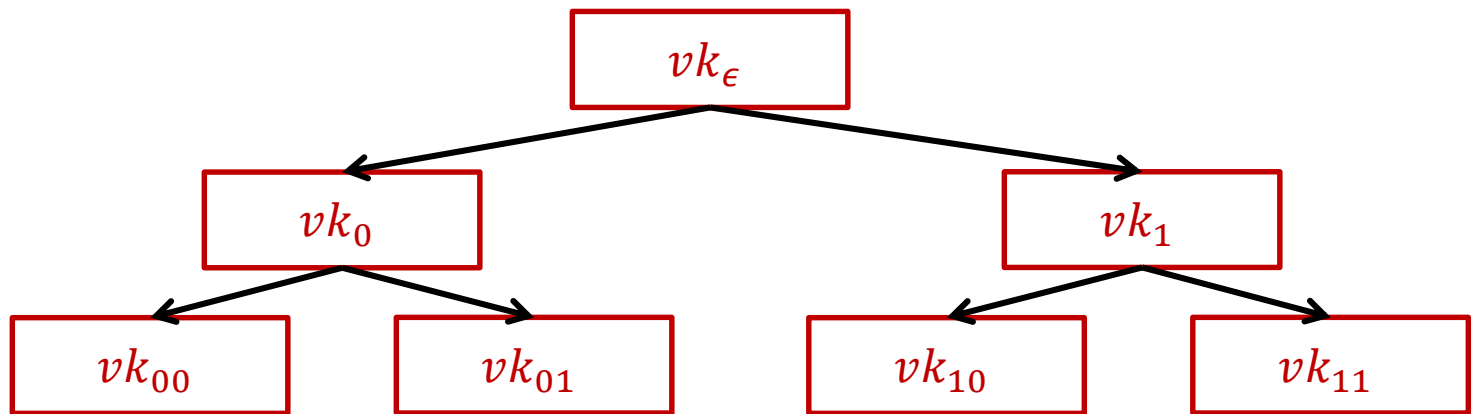
Values are generated in steps 1-3 only if these values are not already part of the current state



A Stateful Scheme

Signing a message $m \in \{0, 1\}^n$:

1. Generate a path from the root to the leaf labeled m : For each proper prefix w of m sample $(vk_{w0}, sk_{w0}), (vk_{w1}, sk_{w1}) \leftarrow \text{Gen}(1^n)$
2. Certify the path: For each proper prefix w of m compute $\sigma_w = \text{Sign}_{sk_w}(vk_{w0}, vk_{w1})$
3. Compute $\sigma_m = \text{Sign}_{sk_m}(m)$
4. Store all generated keys and signatures as part of the updated state
5. Output the signature $(\{\sigma_{m|i}, vk_{m|i0}, vk_{m|i1}\}_{i=0}^{n-1}, \sigma_m)$



A Stateful Scheme

Example: A signature on $m = 01$ consists of $(\sigma_\epsilon, \sigma_0, \sigma_{01})$ where

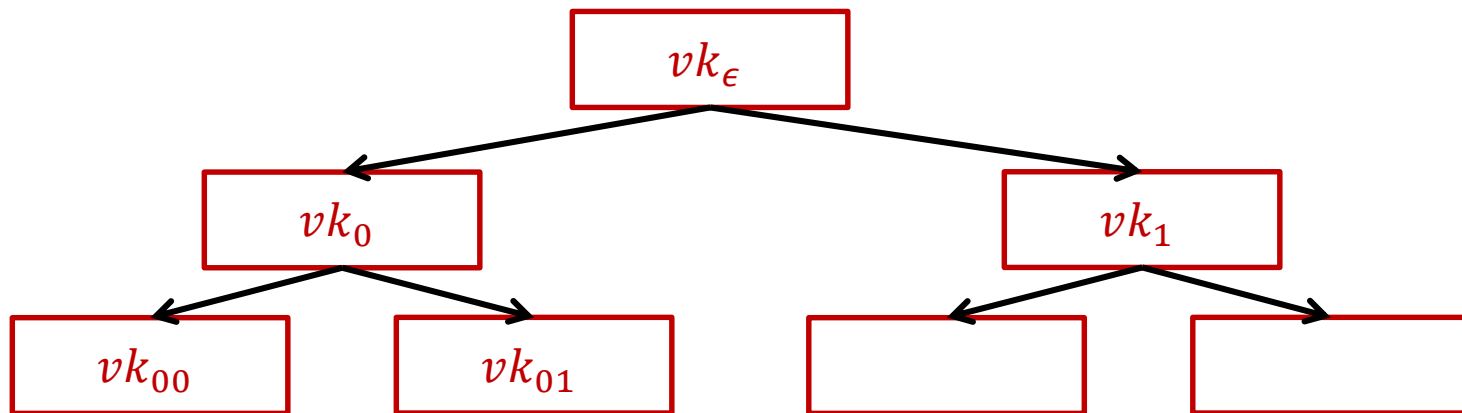
$$\sigma_\epsilon = \text{Sign}_{sk_\epsilon}(vk_0, vk_1)$$

(Certifying the path)

$$\sigma_0 = \text{Sign}_{sk_0}(vk_{00}, vk_{01})$$

$$\sigma_{01} = \text{Sign}_{sk_{01}}(01)$$

(Signing the message)

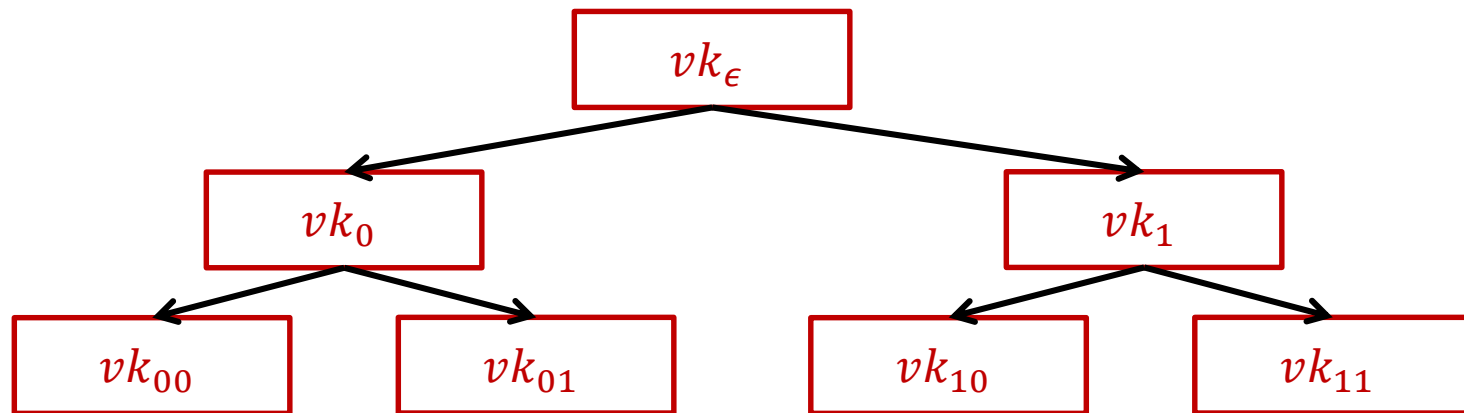


A Stateful Scheme

Verifying a signature $\left(\{\sigma_{m|i}, vk_{m|i0}, vk_{m|i1}\}_{i=0}^{n-1}, \sigma_m\right)$ on $m \in \{0, 1\}^n$:

Output **1** if and only if both:

1. $\text{Vrfy}_{vk_{m|i}}((vk_{m|i0}, vk_{m|i1}), \sigma_{m|i}) = 1$ for every $i \in \{0, \dots, n-1\}$
2. $\text{Vrfy}_{vk_m}(m, \sigma_m) = 1$



A Stateful Scheme

Theorem:

If Π is a one-time signature scheme, then Π' is existentially unforgeable against a chosen-message attacks.

Note:

Π needs to allow signing “sufficiently long” messages (two verification keys of Π)

- Can be constructed from CRHFs by applying the hash-and-sign paradigm to Lamport’s scheme
- In fact, can be constructed assuming OWFs instead of CRHFs (but this is outside the scope of this course)

A Stateful Scheme

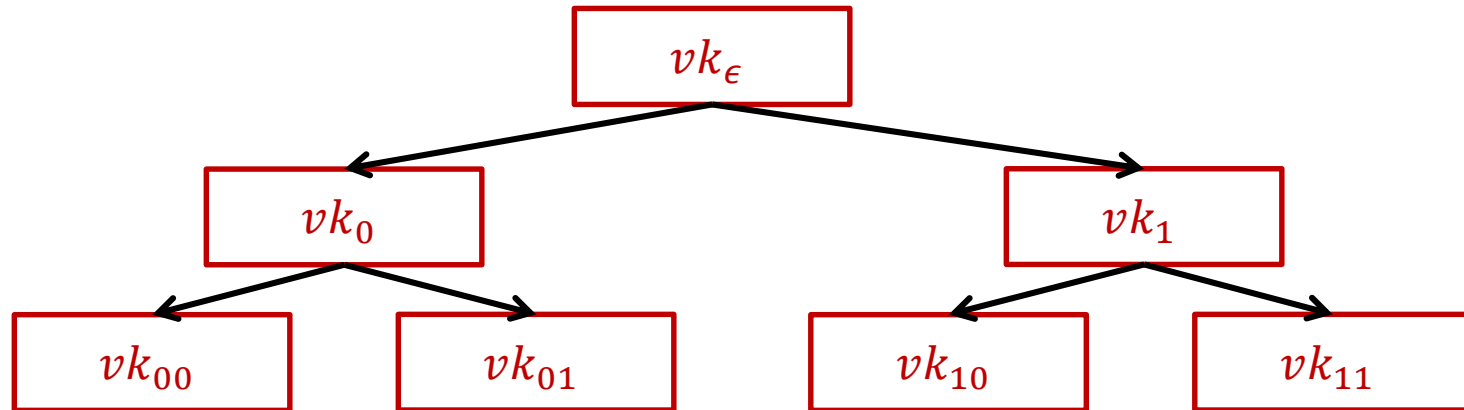
Theorem:

If Π is a one-time signature scheme, then Π' is existentially unforgeable against a chosen-message attacks.

Proof idea #1:

Each sk_w is used to sign exactly one “message”

- If w is an internal node then sk_w is used to sign (vk_{w0}, vk_{w1})
- If w is a leaf then sk_w is used to sign w



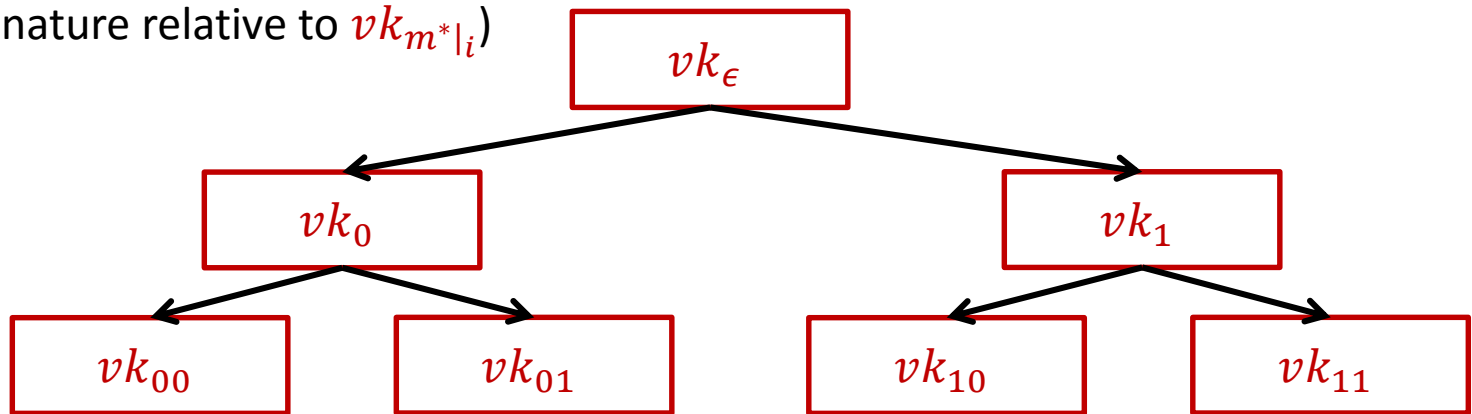
A Stateful Scheme

Proof idea #2:

Suppose that \mathcal{A} asks forges a signature $\left(\{\sigma_{m^*|i}^*, vk_{m^*|i0}^*, vk_{m^*|i1}^*\}_{i=0}^{n-1}, \sigma_{m^*}^*\right)$ on m^* .

Two possible cases:

- The full path to the leaf m^* already existed and \mathcal{A} used the same path $\Rightarrow \mathcal{A}$ must have forged a signature relative to vk_{m^*} (and did not receive any signature relative to vk_{m^*})
- The full path to the leaf m^* didn't exist or \mathcal{A} used a different path $\Rightarrow \mathcal{A}$ must have forged a signature relative to $vk_{m^*|i}$ for $i \in \{0, \dots, n-1\}$ (and received exactly one signature relative to $vk_{m^*|i}$)



Construction Outline

One-time signature scheme



Stateful signature scheme

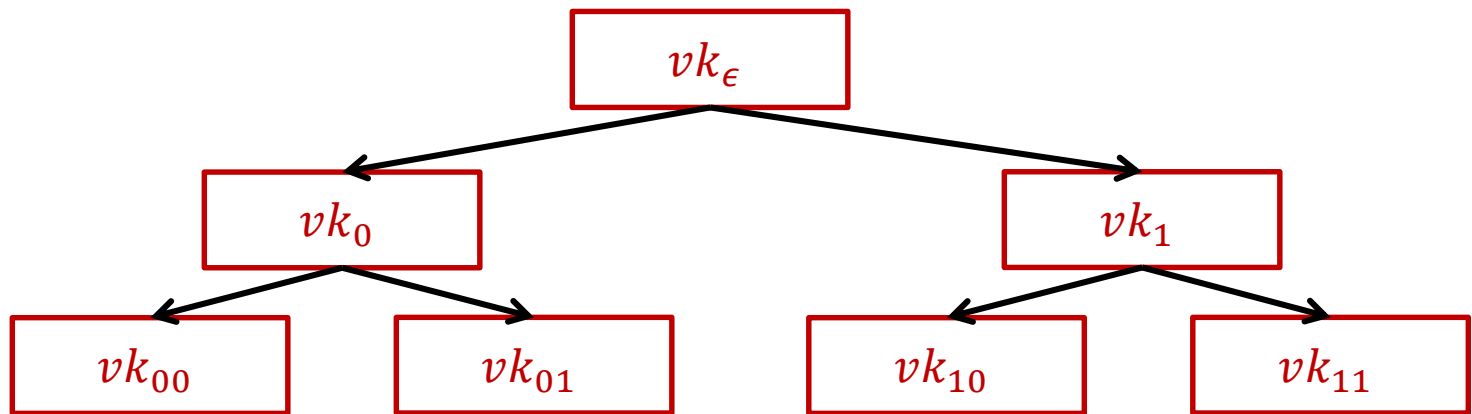


Stateless signature scheme

A Stateless Scheme

De-randomize the stateful scheme Π' to a stateless scheme Π'' :

- The signer's secret key sk is a seed for a PRF $F_{sk}(\cdot)$
- $(r_w, r'_w) \stackrel{\text{def}}{=} F_{sk}(w)$ is used as the randomness needed for each node $w \in \{0,1\}^{\leq n}$:
 - If $w \in \{0,1\}^{<n}$ then r_w is used for sampling (vk_w, sk_w) and r'_w is used for signing (vk_{w0}, vk_{w1})
 - If $w \in \{0,1\}^n$ then r_w is used for sampling (vk_w, sk_w) and r'_w is used for signing w



A Stateless Scheme

De-randomize the stateful scheme Π' to a stateless scheme Π'' :

- The signer's secret key sk is a seed for a PRF $F_{sk}(\cdot)$
- $(r_w, r'_w) \stackrel{\text{def}}{=} F_{sk}(w)$ is used as the randomness needed for each node $w \in \{0,1\}^{\leq n}$
 - If $w \in \{0,1\}^{<n}$ then r_w is used for sampling (vk_w, sk_w) and r'_w is used for signing (vk_{w0}, vk_{w1})
 - If $w \in \{0,1\}^n$ then r_w is used for sampling (vk_w, sk_w) and r'_w is used for signing w

Theorem:

If Π is a one-time signature scheme and F is a PRF, then Π'' is existentially unforgeable against a chosen-message attacks.

Proof idea:

Any adversary \mathcal{A} against Π'' can be used either as an adversary against the stateful scheme Π' , or as a distinguisher against the PRF F

A Stateless Scheme

Theorem:

If Π is a one-time signature scheme and F is a PRF, then Π'' is existentially unforgeable against a chosen-message attacks.

$$\begin{aligned}\Pr[\text{SigForge}_{\Pi'', \mathcal{A}}(n) = 1] &\leq |\Pr[\text{SigForge}_{\Pi'', \mathcal{A}}(n) = 1] - \Pr[\text{SigForge}_{\Pi', \mathcal{A}}(n) = 1]| \\ &\quad + \Pr[\text{SigForge}_{\Pi', \mathcal{A}}(n) = 1] \\ &= |\Pr[\mathcal{D}^{F_{sk}(\cdot)}(1^n) = 1] - \Pr[\mathcal{D}^{f(\cdot)}(1^n) = 1]| \\ &\quad + \Pr[\text{SigForge}_{\Pi', \mathcal{A}}(n) = 1]\end{aligned}$$

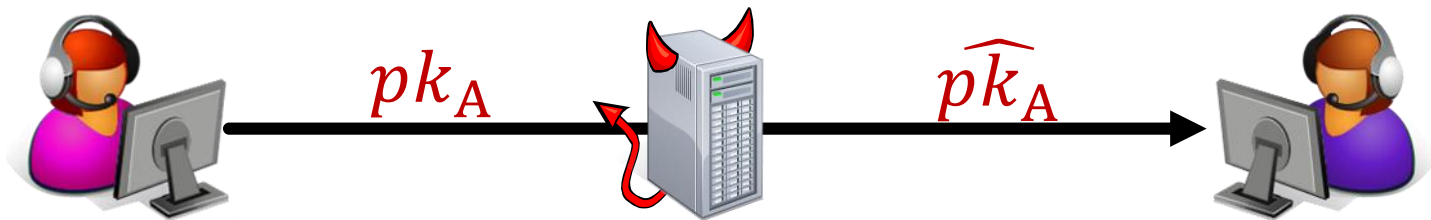
Outline

- **Digital signatures**
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- **Certificates and public-key infrastructures**
- **User-server identification**

Certificates and PKI

Public-key cryptography is great, but how to distribute the public keys?

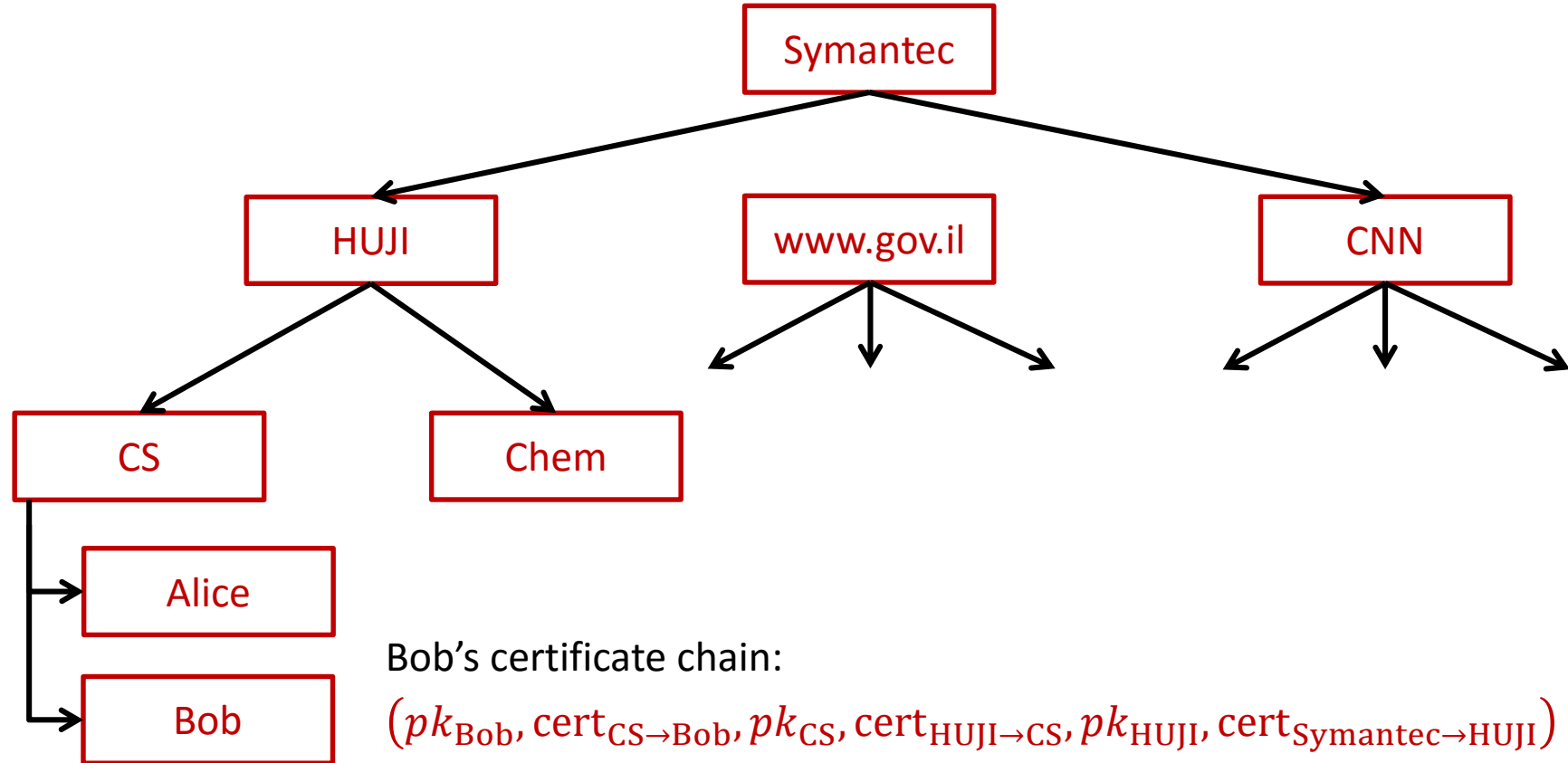
- Keys must be authenticated for avoiding man-in-the-middle attacks



Solution: Certification Authorities (CAs)

- **Certificate**: A signature binding an identity to a public key
- Assume that we already trust the CA's verification key vk_{CA} (e.g., vk_{CA} is hard-wired into the source code of my browser)
- The CA provides Alice with $\text{cert}_{CA \rightarrow A} \stackrel{\text{def}}{=} \text{Sign}_{sk_{CA}}(\text{"Alice's key is } pk_A\text{"})$
- Alice sends to Bob both pk_A and $\text{cert}_{CA \rightarrow A}$

Delegation of Certificates



Invalidating Certificates

Certificates should not be valid indefinitely

- An employee may leave a company
- A secret key may get stolen
- ...

Approach 1: Expiration

- Each certificate includes an expiration date
- $\text{cert}_{CA \rightarrow A} \stackrel{\text{def}}{=} \text{Sign}_{sk_{CA}}(\text{"Alice's key is } pk_A\text{"}, 31/12/2014)$

Approach 2: Revocation

- Each certificate includes a unique serial number
- The CA publishes (a signed) list of revoked certificates
- $\text{cert}_{CA \rightarrow A} \stackrel{\text{def}}{=} \text{Sign}_{sk_{CA}}(\text{"Alice's key is } pk_A\text{"}, \text{serial number})$

Outline

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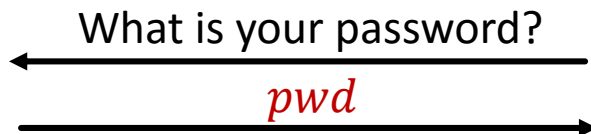
User-Server Identification

A trivial password-based identification protocol

- The user holds a password *pwd*, the server knows $y = f(pwd)$ for some function f
- The user identifies by sending *pwd* in the clear...



User
pwd

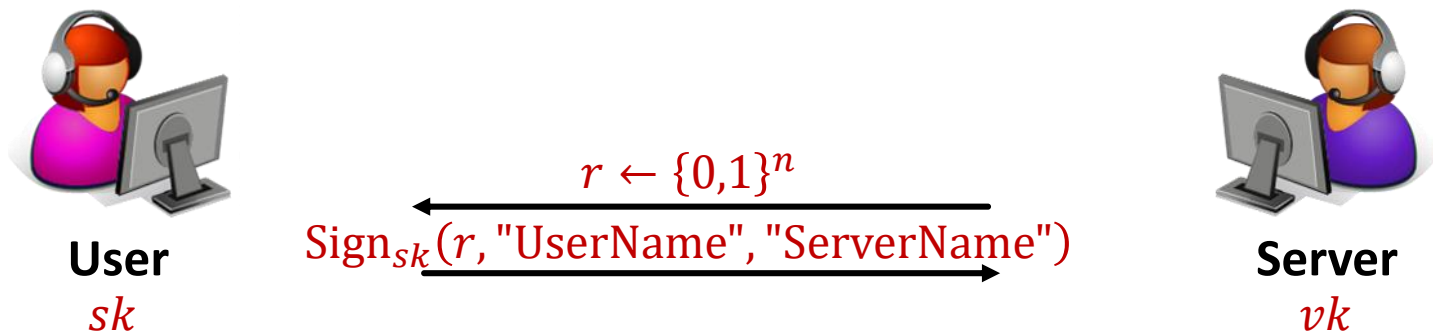


Server
 $y = f(pwd)$

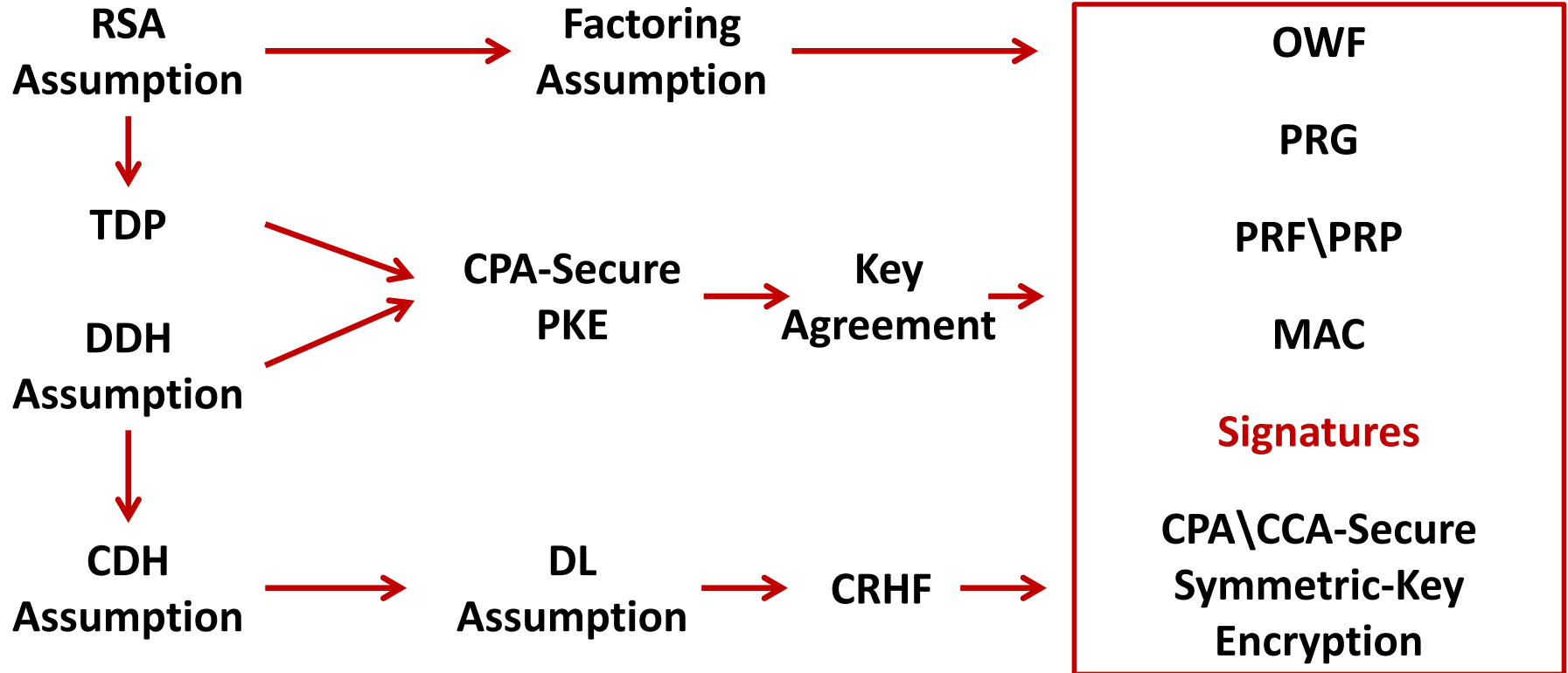
User-Server Identification

A slightly better solution using a signature scheme

- The user holds a signing key sk , the server knows the verification key vk
- The user identifies by signing a randomly chosen message



The World of Crypto Primitives



Recommended Reading

- J. Katz and Y. Lindell. **Introduction to Modern Cryptography.**
Chapter 12 (Digital Signature Schemes): 12.0-12.3, 12.6-12.7

Problem set 5 is available on-line