#### Rules of language?

Lecture 3

1

#### Summary of last class

- Two mechanisms for linguistic productivity:
  - · Statistical associations
  - Rules
- The two mechanisms are distinct
  - Rules do not simply "emerge" from an *associationist* system
    - Asystem that is not endowed with the capacity to represent rules "innately"; note: this implies no innate rules!
  - oftentimes, it's hard to tell which one applies
- Limitation: these conclusions obtain from artificial language

2

Rule or statistical association? It can be tricky...

 Hear: bagaga, bailli, banunu test: bakiki makiba

#### rules

- ABB
- Abstract relation between variables
  - A=any syllable
  - B=any (other syllable)

#### Statistical learning

- Co-occurrence of specific instances
- #+ba (#=word boundary)
- Ba+ga
- Ga+ga

#### Note:

- Both mechanisms explain performance
- Both can generalize

#### Today's problem

- What about natural language:
  - Do rules rule?
- Can we rule out the statistical alternative?

4

#### Our goal here..

- Understand the issues
- A taste of the literature
  - Not a full review...
- Learn "how to fight the fight"
  - Not necessarily decide the answer...

5

#### Two cases of natural language

- Inflection
- Reduplication/identity

## What learning mechanism? Example 1: inflection

- Hear:
  - Bag-bags
  - Cat-cats
- Generalize: what is the better plural?
  - Cags
  - cagd
- *How?* 
  - Two possible answers!

7

## Two possibilities Example 1: inflection Rule (general) • Plural → Noun<sub>singular</sub>+S - Generalize by structure Noun<sub>singular</sub> • Generalize by structure Noun<sub>singular</sub> I rain - If cag=Noun<sub>singular</sub>; then - Cag<sub>noun</sub> → Cag<sub>noun</sub> s Test T

Cads 4

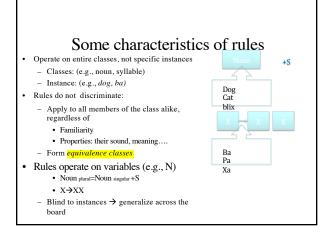
8

## What learning mechanism? Example 2: XX

- Hear:
  - Ba-baba
  - ра-рара
- Generalize: what is the better output for *ma*?
  - mama
  - mata
- *How?* 
  - Two possible answers!

#### Two possibilities Example 2: XX Rule (general) Statistical association (specific) Generalize by tracking association among X→XX specific sounds in singulars and plurals - Generalize by structure Train baba papa Test mama 🥞 mata 🖣 Labial onsets Coronal onsets

10



11

#### Two tests of associations

- Systematicity
  - Role of grammatical category (N, V)
  - structure sensitivity
    - Big cup+Red up →big red cup
- Scope of generalization
  - Do not depend on similarity
  - Across the board?

#### Competing predictions Scope Similarity to training Similarity-driven similarity Scope of generalization can exceed the Generalize only training space within the training Systematicity Constituent Driven by Insensitive to structure/grammatical categories grammatical categories/constitue grammatical categories/constitue nt structure nt structure 13

13

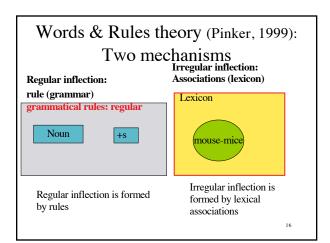
#### Case study

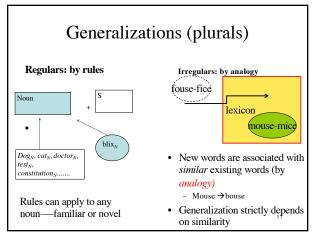
Morphology: inflection

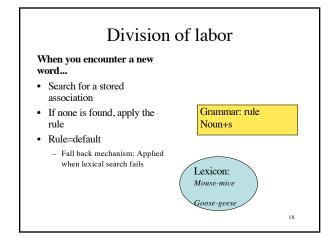
14

14

#### English inflection: two types • Irregular: Everything else • Regular: stem+suffix plural - Plural: stem+s Change Goose-geese • Rat-rats - Past tense: verb+(e)d Ending Child-children Like-liked Note: No change Fish-fish •Different regular variants Past tense •Dogs, cats, cases Change vowel Bear-bore •Liked, bagged, rated •These changes are due to phonology, not morphology No change Change all Go-went •We ignore them here







## Division of labor: examples • Fouse? - Activate mouse - generate fice (by lexical association) • Xag - Go to lexicon → no analogy found - Apply the rule (by default) → xags | Mouse-mice | Lexicon: Mouse-mice | Mouse-mi

19

## Summary: two accounts of inflection

#### Pinker's words and rules

#### Two mechanisms:

- Regulars: generated by rule
  - Irregulars: by lexical analogies
- Order of application:
  - Rule applies by default
  - Elsewhere: lexical associations

#### Connectionist alternative

Goose-geese

- A single associationist mechanisms for both
- · regulars and irregulars

20

19

20

#### How the two accounts differ?

- Irregulars:
  - Mostly agree
- Regulars: here the two theories differ!

How the	How the two accounts differ?				
	Words and rules	Associations			
What is "regular"?	Generated by rule	<ul><li>Frequent association</li><li>Preserves the base</li></ul>			
How generalizations emerge?	Operation on variables	Associations between specific word instances			
Similarity to training set matters?	Not necessarily	Yes			
What determines well-formedness?	structure	frequency			
		22			

#### Tests of these predictions

- Role of similarity/frequency
- Role of grammatical categories
- Dissociations:
  - Neurological
  - Grammatical

2

23

#### Role of similarity Goal: systematically manipulate similarity to base Past tense-stem rating suffix Similarity Irregulars similar Spling Plip intermediate Fring Brilth \_ung Nist Frlig Similarity to base • Prediction (W&R): selective Prasada, S., & Pinker, S. (1993). Generalization of regular and irregular morphological patters. Language and Cognitive Processes, 8, 1-55. Berent, L. Pinker, S., & Shimron, J. (1999). Default nominal inflection in Hobrew: Evidence for meni24 variables. Cognition, 72, 1-44. similarity effects to irregulars, not regulars

#### Limitations

- The critical prediction to regulars is based on a null effect
  - Similarity doesn't affect regulars
- The predictions of W&R are weak:
  - People may store regulars and analogize to them
  - Regulars may not be immune to similarity even if rules rule
- "big data" may further mask the effects of similarity for regulars even in associationist systems

25

#### Tests of these predictions

- Role of similarity/frequency
- Role of grammatical categories
- Dissociations:
  - Neurological
  - Grammatical

26

#### Julia child and her husband

One day, John told his wife, Mary, that he was inviting some Mary, that he was inviting some new friends over for dinner. The two couples had a great time. As the guests were leaving, Mary asked them for their full name. It turns Mary has just fed Julia Child and her husband. Yet the \_\_\_\_\_were receive, queste, and exid the were gracious guests, and said the food was great!



- Children
- Childs

Marcus, G. F., Brinkmann, U., Clahsen, H., Wiese, R., & Pinker, S. (1995). German inflection: the exception that proves the rule. *Cognitive Psychology*, 29(3), 189-256

## Role of grammatical categories What determines generalization?

#### Association

- similarity
- Prediction:
  - The Children

#### W&R

- Constituent structure of grammatical categories
- Names (Julia Child): rootless
- · Block lexical association
  - Rule applies by default
- Prediction: default suffix and faithful base
  - ChildsNeharim
  - Nehar
- Experimental results support this prediction

28

#### Role of grammatical categories

משפחת נהר

יוסי ועירית נהר גרים בקומה מעלי.. הם לא מפסיקים להרעיש בלילה והם מעירים אותי משנתי.

בלילה והם מעירים אותי נ ה—————הם שכנים

> מעצבנים נְהרים

י נָהרים נְהרות נָהרות משפחת תמר

יוסי ועירית תמר גרים בקומה מעל׳. הם לא מפסיקים להרעיש בלילה והם מעירים אותי משנתי. ה——————ם שכנים

ה——— מעצבנים. תְמרים תַמרים

תְמרות תַמרות

29

#### Tests of these predictions

- ✓ Role of similarity/frequency
- Role of grammatical categories
- Dissociations:
  - Neurological
  - Grammatical

#### The logic of dissociations

- If two cognitive systems, A & B (e.g., reg. vs. irreg) are distinct, then they should selectively dissociate
  - Some manipulations will affect A, not B
  - Other manipulations will affect B, not A



• This logic is central to cognitive neuropsychology

31

31

#### Evidence from dissociations

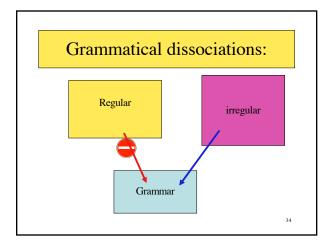
• Pinker et al. have identified *neurological* conditions (congenital & acquired) that selectively affect reg. & irreg inflection

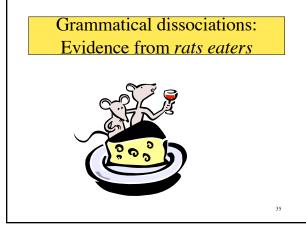


- Challenges
  - Neurological dissociations are usually not entirely selective
  - Differences could be due to other factors (e.g., sensorimotor)

32

Linguistic dissociations: compounds





35

#### Three monsters...

- This monster eats many rats It is a Rats eater
- Rat eater This monster eats many mice. It is a Mouse eater

Mice eater'





• This monster eats both rats and mice. How would you call it? Rats eater Mice eater



37

#### The compounding facts

- All plurals are dispreferred
  - Semantic reasons
- Regular plurals are far worse than irregular plurals
  - Rats eater<mice eater
  - This preference is seen even in young children who rarely hear any compounds (3-5 years)

38

38

What's wrong with rats-eater?

Two competing explanations

#### 1. Word/Rules: rules matter (Pinker, 1999) • The compounding rule only allows input from lexicon Regulars are generated by Grammar Lexicon rule→ banned Noun+s · Irregulars are stored in lexicon → allowed • Note: · two systems for reg/irreg. Compound · Rats-eater is banned because rule rats is generated by a rule 40

40

### 2. Associative phonological explanation

(Haskell, MacDonald & Seidenberg, 2003)

- Regulars and irregulars are both formed by lexical association (one system)
- Regulars and irregulars differ because of their infrequent sound pattern (phonology)
  - Plurals end with Cs (Rats, cats, dogz)
  - Note: this has nothing to do with morphology!
    - $\,$  Same explanation for rats-eater and bpgnk
  - These sound combinations rarely occur in compounds
  - · Rare sound combinations are disliked

4

41

#### Why no "rats-eater"

Word/Rules (Pinker, 1999)

- Rules vs. association
  - Regular plurals are banned in compounds
  - Rats eater banned because it is a regular plural (formed by a rule)

#### **Phonological explanation**

(Haskell, MacDonald & Seidenberg, 2003

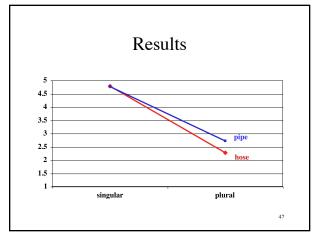
- Different phonological patterns
  - Rats-eater has an unusual sound combination
    - · Rarely occurs in compounds
  - Rat-s eater is banned because its sound-pattern (e.g., -ts) it sounds like regular plural
  - This sound pattern is rare (in the context of a compotind)

Test 1: Does merely "sounding" like a regular	]
plural matter	
(when morphology is controlled)?	
• Consider <i>hose</i>	
<ul> <li>Sounds like regular plurals</li> </ul>	
– Isn't a plural	
• Question: are singulars that only <i>sound</i>	
like regular plurals unacceptable in compounds?	
– Hose-installer	
– Pipe-installer	
Berent, I. & Pinker, S. 2007 The Dislike of Regular Plurals in Compounds: Phonological Familiarity 43	
or Morphological Constraint? The Mental Lexicon, 2, 129-181.	
43	
	]
Hose-pipe	
John works for General Electric. His	
job is to install hoses on washing machines. His wife jokingly calls machines. His wife jokingly calls	
him the hose- installer.  him the pipe- installer.	
John works for General Electric. His job is to install hoses on washing machines. His wife jokingly calls machines. His wife jokingly calls	
machines. His wife jokingly calls him the hoses- installer	
instate	
44	
44	
	1
A 1' 4 41 ' 44' ' 4	
According to the associationist	
account	
A. People should dislike <i>hose-installer</i> because	
hose sounds like a regular plural	
B. People should prefer <i>hose-installer</i> because	
hose sounds like a regular plural	
C. People should give similar responses to <i>hose-installer</i> and <i>pipe-installer</i>	
instancer and pipe-instancer	
	I e

## According to the Words and Rules account

- A. People should dislike *hose-installer* because *hose* sounds like a regular plural
- B. People should prefer *hose-installer* because *hose* sounds like a regular plural
- C. People should give similar responses to *hose-installer* and *pipe-installer*

46



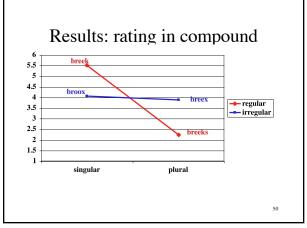
47

## Test 2: Morphology matters (when sound is controlled)!

- Breeks tea
  - (regular plural of breek)
- Breex tea
  - (irregular plural of *broox*)
- Finding: regular plurals are banned
  - Even when spoken (no spelling)

#### materials Broox is a flower used to make tea that cures headaches. It usually Breek is a flower used to make tea that cures headaches. It usually takes three breeks to make a cup of tea. Mary's headaches are so bad she makes her tea takes three breex to make a cup of tea. Mary's headaches are so bad she makes her tea using six using six This wonderful tea is often This wonderful tea is often called the headache tea, called the headache tea, but its formal name is but its formal name is breex-tea breeks-tea \_\_\_ broox-tea breek-tea 49

49



50

#### Summary: double dissociation Sounds like a OK? • Sounding like a regular plural? regular plural Hose-installer Yes Yes doesn't matter no • Being a regular Pipe-installer plural does! breeks tea No yes No Breex tea 51

#### Conclusion

- Regular plurals are banned in compounds
- The ban concerns morphological structure, not phonology (sound)
- Support for Words and Rules

52

52

#### What is "regular"?

- English regulars tend to be
  - Most frequent type
  - Preserve the base (rat-rats)
- This is not always the case!
  - German: regulars are least frequent (Marcus et al., 1995)
    - » +n, +e, +er, no change, +s
  - Hebrew: regulars and irregulars can both preserve the base (Berent et al., 2002)
    - Regular: Shir-shirim 'songs'
    - Irregulars: Kir-kirot 'walls'
- Conclusion: regular forms are ones *generated by* a rule
  - Not necessarily frequent or one that preserves the base.

53

### General conclusions: three tests of regulars

- Role of similarity/frequency
  - Regular inflection does not necessarily depend on frequency
  - Problem: it *might*...it's a nuanced argument
- Role of grammatical categories
  - Generalizations to rootless forms (e.g., names) depend on their grammatical category, not similarity
- Dissociations:
  - Neurological: several have been observed, but they are rarely complete
  - Grammatical: compounds dissociate regulars from irregulars!

#### Reduplication/Identity

Case 1

55

55

## Identity/reduplication restrictions: how are they represented?

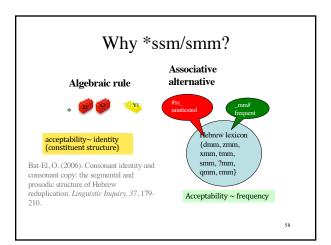
- Algebraic operations over variables?
- Non-algebraic accounts
  - Associations
    - Test case: the Semitic stem
  - Sensorimotor constraints
    - Test case: the double identity of doubling...

56

56

#### Semitic stems: the fact...

- Like other Semitic languages, Hebrew bans the position of identity
  - \*ABB
  - -AAB

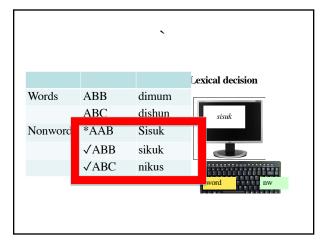


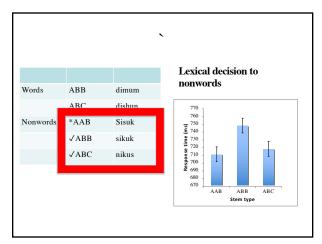
## How to adjudicate between these accounts?

- Test the role of association
  - (partly) control for statistical structure of ABB/ABC

59

59





### Rule application is automatic

 If reading is automatic, then the properties of printed words (meaning) should interfere with color naming
 And it does...

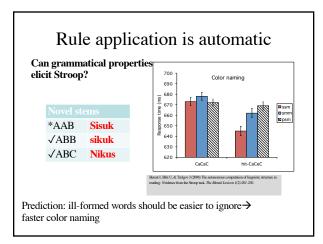


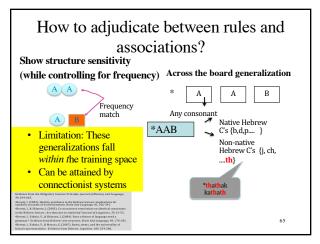
"Classical" Stroop task

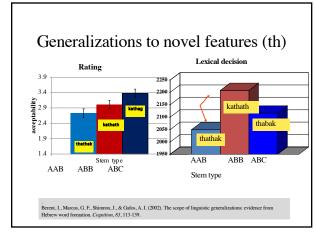
• What about grammatical properties?

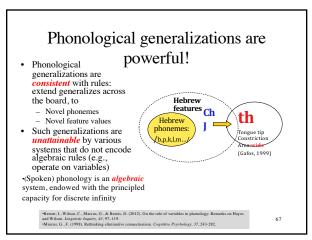
62

# Rule application is automatic Modified Stroop task \*AAB Sisuk √ABB sikuk √ABC Nikus









## How to adjudicate between these accounts?

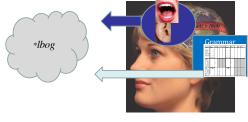
- The role of association
  - (partly) control for statistical structure of ABB/ABC
  - Generalization beyond their training space
- The role of sensorimotor constraints

6

68

## Two accounts of language of phonology Phonology is governed by abstract algebraic operations (rules/constraints) Phonological patterns are governed by the sensorimotor system The sensorimotor system The sensorimotor system

## What is the *direct cause* of a speaker's (synchronic) intuitions?



70

#### How to tell?

• Strategy 1: does phonology survive insults to the phonetic channel



- Via TMS/mechanical suppression
- Congenitally, in dyslexia

71

#### How to tell?

- Strategy 1: does phonology survive insults to the phonetic channel
  - Via TMS/mechanical suppression
  - Congenitally, in dyslexia
- Strategy 2: can phonology emerge in a new channel?



#### A simple question

- If you (sign-naïve) speakers were to see signs in ASL, how would you perceive them:
  - As pantomime
  - As structured linguistic representations?

Can spoken phonology apply in a new modalitymanual signs?



73

#### Amodal phonology

#### Hypothesis

#### Consequences

- Phonology is distinct from its bodily channel: it is neither speech nor sign. Rather, it is an abstract algebraic system
- Grammatical principles could apply across language modalities
- Speakers can spontaneously project grammatical phonological principles crossmodally
  - Spoken L1→ Signed L2
     Signed L2-→Spoken L1

74

#### One stone, two birds...

#### Cross modal transfer simultaneously tests

- Sensorimotor explanations
  - Different modalities, different sensorimotor constraints
  - Cross modal generalizations challenge sensorimotor explanati
- · Scope of linguistic generalizations
  - Signed and spoken features do no
  - Generalization across modality offers evidence for variables



Thank v	vou (	Outi!
1 man	y Ou	Ouu



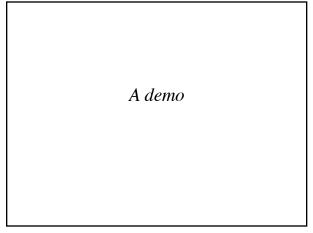
## Evidence from a grammatical illusion

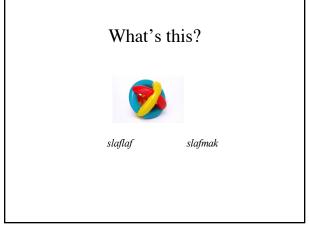


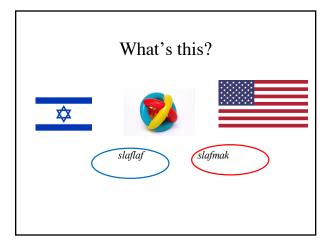
## The double life of linguistic doubling



Photo credit: Diane Arbus, Identical Twins (196)

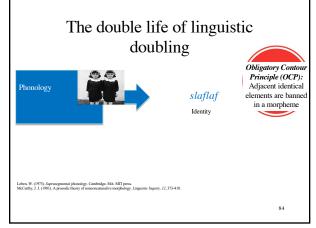


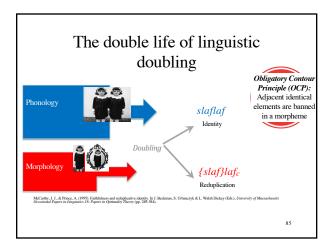




What's going on?

# Phonology Morphology Proceede: Disse Arbus, Mental Trice (1907)





## A linguistic analysis: bare forms Parse OCP DEP Phonology slaflaf XX \* English slafmat XY Morphology slaflaf {X}X<sub>c</sub> / Hebrew slafmat XY \*

86

## Hypothesis: bare phonological forms

- Speakers spontaneously project their grammar to novel non native-like stimuli
- Projections to bare forms depend on L1 experience
  - English: XX
  - Hebrew {X}Xc

## Can English speakers see the morphological light?



88



#### The double identity of linguistic doubling

Iris Berent<sup>a,1</sup>, Outi Bat-El<sup>b</sup>, Diane Brentari<sup>c</sup>, Amanda Dupuis<sup>a</sup>, and Vered Vaknin-Nusbaum<sup>d,e</sup>

Department of Psychology, Northeastern University, Boston, MA 02115; "Department of Linguistics, Tel-Aviv University, Tel-Aviv 69978, Israel; "Department of Linguistics, University of Chicago, Chicago, Li 60627; "Department of Education, Western Galliee College, Akko 24121, Israel; and Center for the Study of Society, University of Haifa, Haifa 31905, Israel

Edited by Barbara H. Partee, University of Massachusetts at Amherst, Amherst, MA, and approved October 14, 2016 (received for review August

Does knowledge of language consist of abstract principles, or is it fully embodied in the sensorimotor system? To address this question, we investigate the double identity of doubling (e.g., alafafa, or generally, XX; where X stands for a phonological constituent). Across languages, doubling is known to elicit conflicting preferences at different levels of linguistic analysis (phonology vs. morphology).

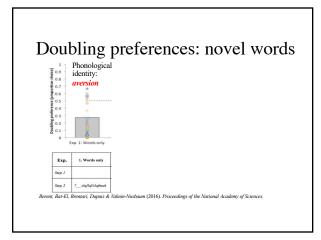
wa, and approved october 14, 2016 (received for review august if And because a single stimulus can elicit different represen whereas different simuli can be mapped to a single represe responses to a stimulus (e.g., its acceptability) and its s motor demands could doubly dissociate. That is, a single ulus could elicit conflicting responses, whereas distinct that context can their cannot be received and acceptability.

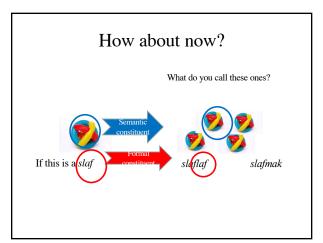
89

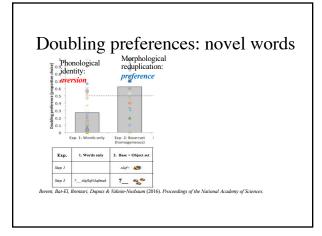
Which one sounds better?

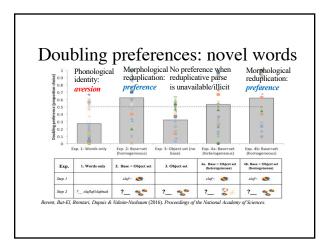
slaflaf

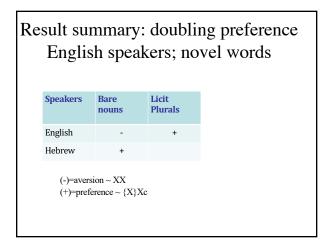
slafmak











## The double identity of doubling • Acceptability dissociates from sensorimotor demands: must be distinct! Acceptability pattern Acceptability pattern demands different +

## What about generalizations across language modalities?

Will speakers who are sign-native spontaneously project their knowledge of spoken L1 to ASL signs?

98

98

#### The double identity of doubling

• Acceptability *dissociates* from sensorimotor demands: must be distinct!



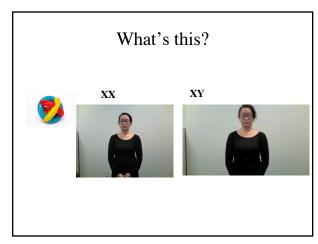
99

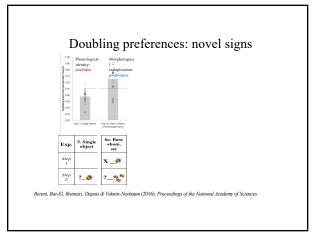
#### What's this?

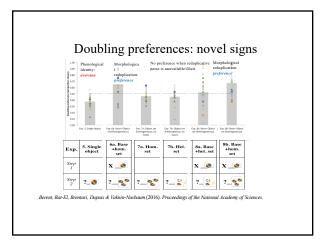


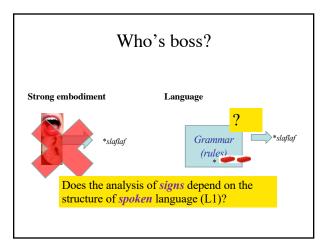












#### Cross modal projections

- Projections can be similar across modality E.g., English speakers
- Projections depend to signs depend on L1 morphophonology

10

105

# English speakers Bare nouns Plurals Spoken words Iden Red ASL Signs Iden Red

#### Cross modal projections

- Projections can be similar across modality
   E.g., English speakers
- Projections depend to signs depend on L1 morphophonology

107

107

## Reduplicative projections depend on L1 grammar

• Obtain only if L1 has productive morphology

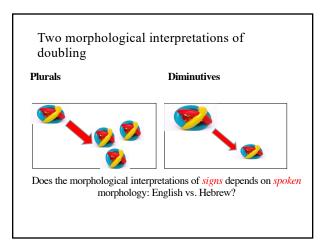
	L1 plural morphology	Red. Projections (signs).
English	✓	✓
Malayalam	$\checkmark$	$\checkmark$
Mandarin	X	X

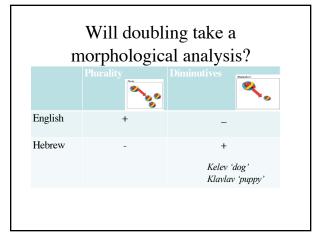
Berent, I. & Bet-El, O. Andam, Q. Brentart, D. & Valuni-Neubaum, V. (2023). Amodal phonology, Journal of Linguistics, 57, 199-529. doi: 10.1017/S002226730000299 Berent, I. & Bet-El, O. Brentart, D. Dupuis, A., & Valuni-Neubaum, V. (2016). The double identity of linguistic doubling. Proceedings of the National Academy of Science, 13(4), 31702-31707. doi: 10.1073/pnas.1613749113

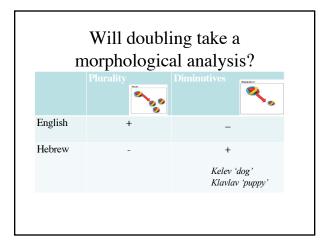
108

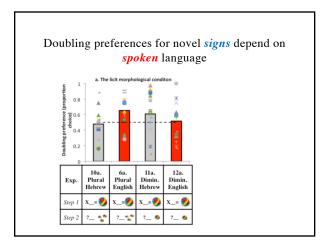
## Some constraints on the projection of a reduplicative parse in a new modality

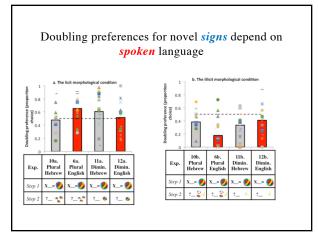
- Projections to plurals (unmarked):
  - only if L1 marks the relevant grammatical category morphologically (not necessarily by reduplication)
- Projections to diminution (marked)
  - Only if L1 marks diminution on the relevant lexical category by reduplication

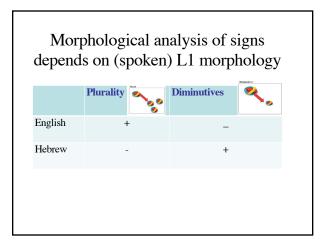












#### Conclusions (doubling)

- Speakers who are sign-native spontaneously transfer their knowledge of spoken L1 to signs
- · Implications
  - This knowledge must be algebraic, not sensorimotor
  - Linguistic principles are partly amodal

116

116

#### General conclusions

- Rules or associations?
  - It's not easy to tell!
  - It's not impossible...
- Several strategies
  - Test for the effects of similarity/familiarity
  - Test for the role of *grammatical categories* by probing for dissociations

11

117

## General Conclusions (Con'd): Three types of dissociations...

- Neurological:
  - neurological conditions that selectively affect one system
- Linguistic
  - Linguistic constraints that selectively apply to a single system
- Modality: cross modal transfer shows that linguistic knowledge
  - Is defined by grammatical categories
  - Is impervious to sensorimotor channel