

## ORIGINAL ARTICLE

# Survival in BRAF V600–Mutant Advanced Melanoma Treated with Vemurafenib

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## ABSTRACT

**BACKGROUND**

Approximately 50% of melanomas harbor activating (V600) mutations in the serine–threonine protein kinase B-RAF (BRAF). The oral BRAF inhibitor vemurafenib (PLX4032) frequently produced tumor regressions in patients with BRAF V600–mutant metastatic melanoma in a phase 1 trial and improved overall survival in a phase 3 trial.

**METHODS**

We designed a multicenter phase 2 trial of vemurafenib in patients with previously treated BRAF V600–mutant metastatic melanoma to investigate the efficacy of vemurafenib with respect to overall response rate (percentage of treated patients with a tumor response), duration of response, and overall survival. The primary end point was the overall response rate as ascertained by the independent review committee; overall survival was a secondary end point.

**RESULTS**

A total of 132 patients had a median follow-up of 12.9 months (range, 0.6 to 20.1). The confirmed overall response rate was 53% (95% confidence interval [CI], 44 to 62; 6% with a complete response and 47% with a partial response), the median duration of response was 6.7 months (95% CI, 5.6 to 8.6), and the median progression-free survival was 6.8 months (95% CI, 5.6 to 8.1). Primary progression was observed in only 14% of patients. Some patients had a response after receiving vemurafenib for more than 6 months. The median overall survival was 15.9 months (95% CI, 11.6 to 18.3). The most common adverse events were grade 1 or 2 arthralgia, rash, photosensitivity, fatigue, and alopecia. Cutaneous squamous-cell carcinomas (the majority, keratoacanthoma type) were diagnosed in 26% of patients.

**CONCLUSIONS**

Vemurafenib induces clinical responses in more than half of patients with previously treated BRAF V600–mutant metastatic melanoma. In this study with a long follow-up, the median overall survival was approximately 16 months. (Funded by Hoffmann–La Roche; ClinicalTrials.gov number, NCT00949702.)

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**P**ATIENTS WITH METASTATIC MELANOMA have a median survival of 6 to 10 months.<sup>1-5</sup> Few patients have a response to systemic therapies.<sup>1,6</sup> Ipilimumab, a monoclonal antibody that blocks cytotoxic T-lymphocyte–associated antigen 4 (CTLA4) on lymphocytes, has recently been associated with superior overall survival, with median overall survival of 10.1 months among previously treated patients and 11.2 months among previously untreated patients.<sup>7,8</sup> However, the majority of patients do not have a response to anti-CTLA4 antibody therapy and still need effective therapeutic options.

In 2002, investigators at the Sanger Institute discovered that mutations in the gene encoding the serine–threonine protein kinase B-RAF (BRAF) occurred in more than 60% of melanomas initially tested.<sup>9</sup> Melanomas carrying a BRAF V600E mutation constitutively activate the mitogen-activated protein kinase (MAPK) pathway, promoting cell proliferation and preventing apoptosis.<sup>10</sup> Vemurafenib (PLX4032) was developed as a potent kinase inhibitor with specificity for the BRAF V600E mutation within cancer cells.<sup>11-14</sup>

A phase 1 trial of escalating doses of vemurafenib identified a recommended phase 2 dose of 960 mg orally, twice daily, that was subsequently tested in an extension cohort of 32 patients with BRAF V600–mutant metastatic melanoma.<sup>15</sup> Twenty-six of 32 patients (81%) had an objective response (56% with a confirmed response).

To determine the rate of response to vemurafenib, we conducted a phase 2 trial in patients with previously treated BRAF V600–mutant metastatic melanoma with central review of confirmed responses by an independent review committee (IRC). After enrollment was completed, results of a phase 3 trial (BRAF Inhibitor in Melanoma 3 [BRIM-3]; ClinicalTrials.gov number, NCT01006980) of vemurafenib versus dacarbazine chemotherapy in untreated BRAF V600–mutant metastatic melanoma were published.<sup>16</sup> The phase 3 trial showed significant improvement in both progression-free survival and overall survival with vemurafenib over chemotherapy, with hazard ratios of 0.26 and 0.37, respectively, in an early interim analysis of overall survival. The median duration of follow-up was slightly less than 4 months, inadequate to address long-term outcomes with vemurafenib. Our phase 2 trial had a much longer follow-up period.

## METHODS

### STUDY DESIGN

In this multicenter phase 2 clinical trial, we enrolled patients with previously treated metastatic melanoma bearing a BRAF V600 mutation, as detected with a polymerase-chain-reaction (PCR)–based test. Patients received vemurafenib at a dose of 960 mg orally twice daily until the development of unacceptable toxic effects or disease progression. Patients with disease progression were permitted to continue vemurafenib if the investigator believed the patient would benefit clinically.

The protocol and the statistical analysis plan are available with the full text of this article at NEJM.org. The protocol was approved by the institutional review board at each participating institution, and the study was conducted in accordance with the protocol and the ethical principles of the Declaration of Helsinki. All study participants provided written informed consent.

The trial was designed jointly by the senior academic authors and representatives of the sponsor, Hoffmann–La Roche. Data were collected by the sponsor and analyzed in collaboration with the senior academic authors, who along with all co-authors vouch for the completeness and accuracy of the data and analyses and for the conformance of this report to the protocol, as amended. The corresponding academic author prepared an initial draft of the manuscript. All authors contributed to subsequent drafts and made the decision to submit the manuscript for publication.

### ELIGIBILITY CRITERIA

Eligibility criteria included an age of 18 years or older, histologically proven stage IV melanoma, progressive disease after at least one prior systemic treatment for advanced disease (including interleukin-2 or standard chemotherapy), an Eastern Cooperative Oncology Group (ECOG) performance status score<sup>17</sup> of 0 or 1 (where 0 means fully active, able to carry on all predisease performance without restriction, and 1 means restricted from physically strenuous activity but ambulatory and able to carry out work of a light or sedentary nature), brain metastasis controlled for at least 3 months after completion of local therapy, no other invasive cancer within 5 years before enrollment, and adequate hematologic, hepatic, and renal function.

**BRAF V600 MUTATION ANALYSIS**

Mutation status was determined by means of a real-time PCR assay (Cobas 4800 BRAF V600 Mutation Test, Roche Molecular Systems) (see the Methods section in the Supplementary Appendix, available at NEJM.org). DNA was subsequently retested with a validated two-fold bidirectional Sanger sequencing method at a central laboratory. Samples with Sanger sequencing results that were invalid or discordant from the PCR result or that were identified as non-V600E mutations were subjected to a massively parallel pyrosequencing method (454 GS FLX Titanium, 454 Life Sciences).<sup>18</sup>

**TUMOR ASSESSMENTS**

Patients underwent baseline tumor imaging, including screening with the use of magnetic resonance imaging (MRI) or contrast-enhanced computed tomography (CT) of the brain, within 28 days before the first dose of the study drug was administered. Tumor assessments were performed every 6 weeks and at the final visit. Blinded IRC assessments of response to therapy were conducted either at the time of disease progression or at scheduled time points. Tumor assessments by both the IRC and investigators were performed according to Response Evaluation Criteria in Solid Tumors (RECIST), version 1.1 (Table A in the Supplementary Appendix).<sup>19</sup>

**MANAGEMENT OF TOXIC EFFECTS**

Toxic effects were graded according to the Common Terminology Criteria for Adverse Events (CTCAE), version 4.0. Toxic effects were managed generally by interrupting treatment until improving to grade 1 or to baseline status, with subsequent dose reductions required in some patients. Doses were reduced to 720 mg or 480 mg twice daily, depending on the severity of the event. Dermatologic evaluations for cutaneous squamous-cell carcinoma were performed regularly, and any lesions found were surgically excised. Although this disease is considered a grade 3 toxic effect according to the CTCAE, affected patients were allowed to continue treatment without dose interruption or reduction.

**STATISTICAL ANALYSIS**

The primary efficacy end point was the overall response rate as assessed by the IRC. The overall response rate was defined as the number of pa-

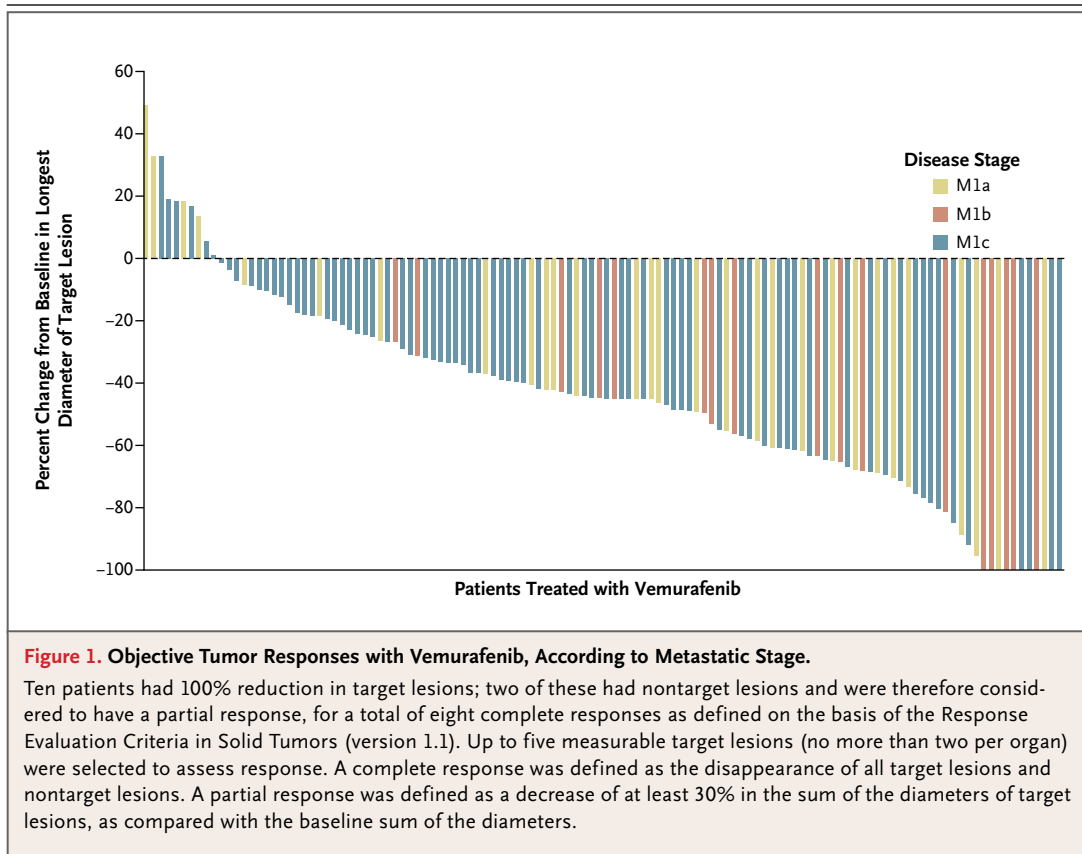
**Table 1. Baseline Characteristics of the 132 Study Patients.\***

Characteristic	Value
Sex — no. (%)	
Female	51 (39)
Male	81 (61)
Race or ethnic group — no. (%)†	
White	130 (98)
Hispanic	2 (2)
Age	
Median — yr	51.5
<65 yr — no. (%)	107 (81)
≥65 yr — no. (%)	25 (19)
No. of prior therapies — no. (%)	
1	67 (51)
2	36 (27)
≥3	29 (22)
Previous interleukin-2 — no. (%)	
No	81 (61)
Yes	51 (39)
Previous ipilimumab — no. (%)	
Yes	7 (5)
No	125 (95)
ECOG status score — no. (%)	
0	61 (46)
1	71 (54)
Metastatic stage at diagnosis — no. (%)	
M1a	33 (25)
M1b	18 (14)
M1c	81 (61)
Serum LDH — no. (%)	
Normal	67 (51)
Elevated	65 (49)

\* ECOG denotes Eastern Cooperative Oncology Group, and LDH lactate dehydrogenase.

† Race or ethnic group was determined by the research staff.

tients with a complete or partial response divided by the total number of treated patients. The response (the change in tumor measurement from baseline) had to be confirmed with at least one repeat tumor assessment performed sequentially at least 28 days after the criterion for response was first met. The overall response rate and exact two-sided 95% confidence interval were calculated by means of the Clopper–Pearson method.<sup>20</sup>



We planned to enroll at least 90 patients, for a sample size of at least 80 patients who could be evaluated, to demonstrate that if the observed overall response rate were greater than 30%, the lower boundary of the corresponding exact two-sided 95% confidence interval would be greater than 20%. The durations of response, progression-free survival, and overall survival were estimated by means of the Kaplan–Meier method, and medians with corresponding two-sided 95% confidence intervals were calculated with the use of the method of Brookmeyer and Crowley.<sup>21</sup> Planned analyses of the IRC-assessed overall response rates were summarized for subgroups based on sex, age, lactate dehydrogenase (LDH) level, ECOG performance status, metastasis stage at the time of treatment, prior therapy, and previous treatment with interleukin-2. Subgroup analysis did not include prior therapy with ipilimumab, because few patients received ipilimumab before enrollment. An additional independent statistical review of all outcome data was performed by two academic statistician authors to validate all results of the industry statistician author.

## RESULTS

Between October 2009 and March 2010, a total of 344 patients were screened for study entry at 13 centers (10 in the United States and 3 in Australia). Overall, 328 patients had tumor tissue tested for BRAF V600 mutations, and 184 (56%) tested positive (Fig. A in the Supplementary Appendix). The most common reason for exclusion was a negative test for BRAF V600 (in 143 patients), followed by the presence of central nervous system metastases (in 23 patients) on brain screening by means of MRI or CT. A total of 132 patients received the study drug and made up the intention-to-treat population. We enrolled more patients than the 90 originally planned because at the time the enrollment target was met, additional patients were already being screened; they were subsequently enrolled if determined to be eligible. At the efficacy data cutoff date (July 1, 2011), the median follow-up was 12.9 months (range, 0.6 to 20.1). At the safety data cutoff date (January 31, 2011), the median follow-up was 10.4 months (range, 0.6 to 14.7).

### PATIENT CHARACTERISTICS

Of the 132 patients enrolled and treated in the study, the majority were men under 65 years of age with stage M1c disease (Table 1). In all, 49% of patients had an elevated LDH level. Only 7 patients had received prior anti-CTLA4 therapy; 1 other had a history of stable brain metastases.

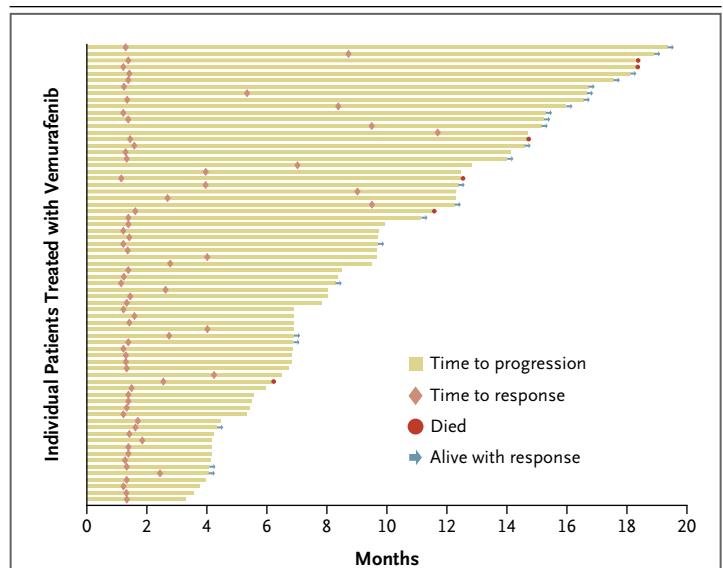
### MOLECULAR TESTING

After screening for BRAF V600E by means of PCR-based testing, a BRAF V600E mutation was confirmed by Sanger sequencing or pyrosequencing in 122 patients, and BRAF V600K mutations were identified in the remaining 10 patients (Fig. B in the Supplementary Appendix).

### EFFICACY

According to the IRC, a complete response was achieved in 8 patients (6%) and a partial response in 62 patients (47%), for an overall response rate of 53% (95% confidence interval [CI], 44 to 62) (Fig. 1, and Fig. C in the Supplementary Appendix). The rate of stable disease was 29% (in 38 of the 132 patients; 95% CI, 21 to 37). Six patients had missing assessments or data that were not able to be assessed. Only 18 patients (14%; 95% CI, 8 to 21) had primary progressive disease (Fig. C in the Supplementary Appendix). The investigator-assessed overall response rate was 57% (partial response, 52% of patients; complete response, 5%), representing an 83% concordance with the IRC assessments. In all the predefined subgroups comprising more than 25 patients, the overall response rate was greater than 30%, meeting the target rate in the protocol (Fig. D in the Supplementary Appendix). Patients with an LDH level more than 1.5 times the upper limit of the normal range had an overall response rate of 33% (15 of 46 patients; 95% CI, 19 to 48) — the lowest among the subgroups. Among the 10 patients with BRAF V600K mutations, 4 had a partial response, 3 had stable disease, 2 had progressive disease, and 1 had data that could not be assessed.

Twenty-three of 70 patients with a response had a maintained response at the date of efficacy data cutoff (July 1, 2011). The median duration of response according to the IRC was 6.7 months (95% CI, 5.6 to 8.6) (Fig. 2). Most objective responses were evident at the time of the first set of scans (week 6), but in some patients, responses did not appear until the patient had been receiving the drug for more than 6 months. Thirty-three



**Figure 2. Times to Response and Progression among the 69 Patients Who Had a Response.**

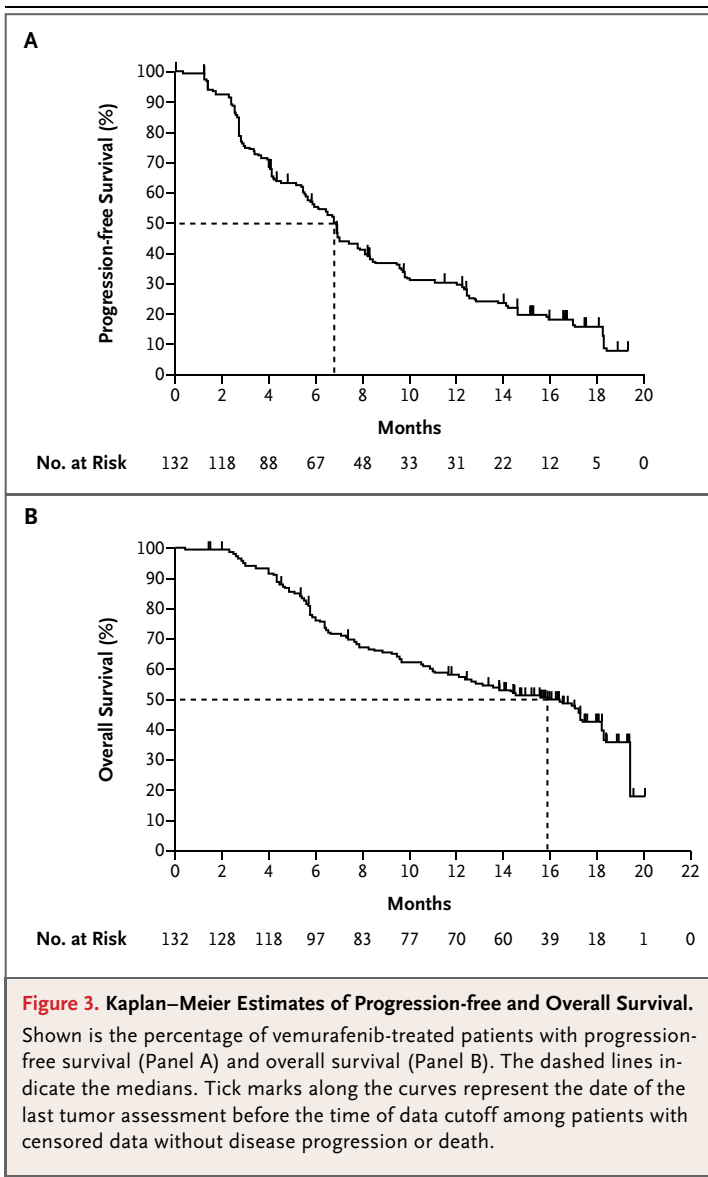
Of the 70 patients who had a response, 69 patients were evaluated for duration of response (1 patient was considered unable to be evaluated for duration of response owing to discrepant data at the time of database cutoff).

of the 132 patients (25%) were progression-free at the time of the data cutoff. The median progression-free survival, as assessed by the IRC, was 6.8 months (95% CI, 5.6 to 8.1). The 6-month progression-free survival rate was 56% (95% CI, 47 to 64) (Fig. 3A).

Of the 132 patients enrolled in the study, 62 (47%) were alive as of July 1, 2011, and the median overall survival was 15.9 months (95% CI, 11.6 to 18.3) (Fig. 3B). The overall survival rate at 6 months was 77% (95% CI, 70 to 85), 58% at 12 months (95% CI, 49 to 67), and estimated to be 43% at 18 months (95% CI, 33 to 53). During the follow-up period, 32 patients (24%) received ipilimumab after they had disease progression while receiving vemurafenib. In an unplanned post hoc analysis, median overall survival remained at 15.9 months (95% CI, 8.0 to not reached) even when these 32 patients were not included.

### SAFETY

The safety data cutoff was January 31, 2011. Most patients had at least one adverse event related to the study drug (Table 2). The most commonly reported adverse events were arthralgia, rash, a photosensitivity reaction, fatigue, and alopecia. Several patients had asymptomatic, transient elevations in



liver-enzyme levels. Four patients discontinued treatment because of adverse events, including retinal-vein occlusion in one. One patient died owing to rapid progression of melanoma and acute renal failure, possibly related to the study drug. Three patients had transient palsies of the seventh cranial nerve (with one patient having both synchronous and bilateral palsies). After resolution, these patients were able to resume vemurafenib.

Fifty-nine (45%) of the 132 patients had their dose reduced; dose interruptions were required in 85 patients (64%). The adverse events that most frequently led to dose modification or interruption included rash, arthralgia, elevated liver-enzyme

levels, and photosensitivity reactions. Patients received a median dose of 1740 mg per day, which represents 91% of the intended dose of 1920 mg per day.

Development of cutaneous squamous-cell carcinoma or keratoacanthoma was reported in 34 patients (26%), typically consisting of only one lesion (in 20 patients) or two lesions (in 6 patients). Three lesions developed in 4 other patients, and four, five, six, and seven lesions developed in 1 patient each. The median time to development of the first cutaneous squamous-cell carcinoma or keratoacanthoma lesion was 8 weeks (range, 2 to 36) (Fig. E in the Supplementary Appendix). On central pathological review, 39 of 43 cutaneous squamous-cell carcinoma lesions were either keratoacanthoma or mixed keratoacanthoma type; the remaining 4 were invasive cutaneous squamous-cell carcinoma. Eight cases of basal-cell carcinoma were identified. No mucosal squamous-cell carcinoma or metastases of cutaneous squamous-cell carcinoma were observed.

## DISCUSSION

Treatment options for patients with advanced melanoma are limited.<sup>22</sup> Since its discovery in 2002, the BRAF V600E mutant kinase has been considered a promising therapeutic target for this disease. The previously reported phase 1 study with vemurafenib in patients with BRAF V600-mutant metastatic melanoma provided evidence that inhibition of the oncogenic MAPK pathway resulted in significant antitumor activity.<sup>15,23</sup> The major objective of the current phase 2 clinical trial was to define, in a larger number of patients, the overall response rate with vemurafenib in advanced melanoma. We report a confirmed response rate of over 50% in patients with previously treated metastatic melanoma bearing the V600E or V600K BRAF mutations. Most responses were rapid, with less than 15% of patients having had disease progression at their first evaluation. Therefore, this trial shows that vemurafenib has clinically evident antitumor activity in metastatic melanoma and that response rates are higher than those associated with previously used treatments.<sup>1-8,22</sup> Reanalysis of the response rate in the phase 1 study according to the response criteria used in our phase 2 study yielded a similar overall response rate of 56%. Furthermore, although the median durations of response and progression-free survival were less than

7 months, some responses were delayed, with one fourth of patients remaining progression-free after a median follow-up period of 13 months. The median overall survival was nearly 16 months in this group of patients with melanomas expressing the relevant mutation. The patients did not have favorable baseline characteristics (61% with stage M1c disease and 49% with elevated LDH level) as compared with those in other large, phase 2 and phase 3 studies of melanoma. In fact, the BRAF mutation has been associated with shortened survival in patients with metastatic disease.<sup>24</sup> The long median overall survival was not simply due to post-progression ipilimumab use in some patients, because exclusion of these patients from the analysis did not change the median overall survival.

Toxic effects were common but not severe or life-threatening in most instances. Although some patients required dose interruptions or reductions, patients were able to receive most of their intended daily dose. The toxic effects were largely related to the skin. As reported previously, the BRAF inhibitor vemurafenib, as with other RAF inhibitors, is associated with the development of cutaneous squamous-cell carcinoma or keratoacanthoma but not squamous-cell carcinoma derived from other organs.<sup>15,25,26</sup> Lesions usually manifested in the first 8 to 12 weeks of treatment and were effectively managed with simple resection without discontinuation of vemurafenib. This suggests differential effects of vemurafenib on cells without oncogenic BRAF. Preclinical models have shown that BRAF inhibitors can paradoxically enhance activation of the MAPK pathway in cancer cells with wild-type BRAF that carry upstream RAS mutations.<sup>27-30</sup> This mechanism may play a role in the development of cutaneous squamous-cell carcinomas.<sup>30</sup>

As with most targeted therapies that block a driver oncogene, cancer cells can develop acquired resistance with continuous dosing. The molecular mechanisms of vemurafenib resistance are under investigation. The currently available data suggest that reactivation of the MAPK pathway through the emergence of truncated hyperactive forms of BRAF,<sup>31</sup> secondary mutations in NRAS (the neuroblastoma RAS viral oncogene homologue)<sup>32</sup> or MEK (MAP kinase kinase),<sup>33</sup> up-regulation of COT (also known as TPL2 or MAP3K8),<sup>34</sup> or activation of alternative survival pathways induced by increased expression of receptor tyrosine kinases but

**Table 2. Adverse Events Related to the Study Drug.**

Adverse Event	Any Grade	Grade 3		Grade 4
		number (percent)		
Total	130 (98)	79 (60)	5 (4)*	
Arthralgia	78 (59)	8 (6)	—	
Rash	69 (52)	9 (7)	—	
Photosensitivity reaction	69 (52)	4 (3)	—	
Fatigue	56 (42)	2 (2)	—	
Alopecia	48 (36)	—	—	
Pruritus	38 (29)	3 (2)	—	
Skin papilloma	38 (29)	—	—	
Cutaneous squamous-cell carcinoma or keratoacanthoma†	34 (26)	34 (26)	—	
Nausea	30 (23)	2 (2)	—	
Elevated liver enzymes	23 (17)	8 (6)‡	4 (3)§	
Peripheral neuropathy	13 (10)	1 (1)	—	
Palmar-plantar erythrodysesthesia	13 (10)	2 (2)	—	
Facial palsy	3 (2)	1 (1)	—	
Hyperuricemia	3 (2)	—	1 (1)	
Retinal-vein occlusion	1 (1)	1 (1)§	—	
Delirium	1 (1)	1 (1)§	—	

\* One patient had two grade 4 adverse events.

† Cases of cutaneous squamous-cell carcinoma or keratoacanthoma were generally managed with simple excision and did not usually require dose modification.

‡ Grade 3 elevated liver-enzyme levels were managed by reducing the dose of the study drug; one affected patient was removed from the study.

§ Grade 3 or 4 adverse events led to discontinuation of therapy.

not by secondary point mutations in BRAF<sup>32,35</sup> are all mechanisms of resistance. Elucidating approaches that can overcome or prevent acquired resistance is critical to further advances in the treatment of melanoma.

In conclusion, this trial shows a high rate of response to vemurafenib in patients with metastatic melanoma and activating BRAF mutations. These results independently confirm the high response rate and response duration shown in a phase 1 trial. The long follow-up period in our study provides critical information on long-term overall survival, not yet shown in the phase 3 trial comparing vemurafenib with dacarbazine.<sup>19</sup> Targeted therapy aimed at oncogenic BRAF V600 induces responses in half the patients and a median survival of 16 months.

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Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.

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